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| CMS Manual System | Department of Health & Human Services (DHHS) |
| Pub 100-19 Demonstrations | Centers for Medicare & Medicaid Services (CMS) |
| Transmittal 10260 | Date: July 31, 2020 |
| | Change Request 11897 |

SUBJECT: Implementation of Nurse Practitioners Certifying Diabetic Shoe Orders Under the Primary Care First (PCF) Model

I. SUMMARY OF CHANGES: The purpose of this Change Request (CR) under the Primary Care First (PCF) model is to provide a benefit enhancement to nurse practitioner participants. Specifically, this enhancement is for nurse practitioners in PCF to certify diabetic shoe orders for their attributed beneficiaries.

EFFECTIVE DATE: January 1, 2021

**Unless otherwise specified, the effective date is the date of service.*

IMPLEMENTATION DATE: January 4, 2021

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/ revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

| R/N/D | CHAPTER / SECTION / SUBSECTION / TITLE |
|--------------|---|
| N/A | |

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

Demonstrations

Attachment - Demonstrations

| | | | |
|-------------|--------------------|---------------------|-----------------------|
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I. GENERAL INFORMATION

A. Background: Section 1115A of the Social Security Act established a new Center for Medicare and Medicaid Innovation (the Innovation Center) within the Centers for Medicare & Medicaid Services (CMS) to test new payment and service delivery models that have the potential to reduce Medicare, Medicaid, and CHIP expenditures while maintaining or improving the quality of care for beneficiaries.

The Innovation Center has secured approval for a new Primary Care First (PCF) model with two separate but related components: (1) the PCF component and (2) the Seriously Ill Population (SIP) component. Both components will test alternative payments and the provision of technical support to primary care practices. These PCF and/or SIP participants will receive a combination of claims and non-claims-based payments based on their attributed Medicare fee-for-service (FFS) beneficiaries. With fewer reporting requirements, PCF component practices will have the flexibility to implement their own strategies that best target outcomes. SIP component practices will deliver care to a separate patient population that is both higher risk and shows fragmented patterns of care.

Participants in the PCF model are primary care practices that may participate in one or both components, although individual beneficiaries may only be covered under one component at a time. A primary care practice may include one or more physicians, as well as non-physician providers such as nurse practitioners. Every participating practice will be given a unique practice ID by the CMS implementation support contractor. Providers in a practice will be uniquely defined by the combination of each provider's tax ID number (TIN) and national provider identifier (NPI).

CMS will create a provider file that lists all participating providers, their PCF component and/or SIP component practice affiliation, and the effective and termination dates of their participation in the PCF model. A given provider (as defined by the concatenation of TIN and NPI) may only be active in one PCF practice at a time. Providers within a practice may have different effective and termination dates (e.g., as they are hired or leave the practice), but the practice itself will have its own effective and termination date for participation in the model. CMS will also create a beneficiary file detailing all attributed, (which also referred to as aligned) Medicare FFS beneficiaries to participants in PCF and/or SIP components. CMS will detail all information specific to the provider and beneficiary files within the Interface Control Document (ICD). CMS will upload this file in the following location within eCHIMP:

- CR Form/Files/Interface Control Documents

The first cohort of PCF and SIP component participants will begin operation during the following dates:

- PCF Component: January 1, 2021 – December 31, 2026
- SIP Component: April 1, 2021 – December 31, 2026

The second cohort of PCF and SIP component participants will start one year after the first PCF Component cohort:

- PCF and SIP Components (Cohort #2): January 1, 2022 – December 31, 2027

New practices and providers may be added throughout the duration of the model and CMS will provide updated files of participating providers and attributed beneficiaries on a monthly basis. Please note the beneficiary file for CR 11419 will also be used for this CR. CR 11419 addresses professional (Part B) FFS claims that subject to the following in PCF:

1. Flat Visit Fee (FVF) (PCF and SIP Components)
2. G2020 HCPCS code (SIP Component Only)
3. Prohibited HCPCS codes (Chronic Care Management and Home Health)

For more information regarding those professional FFS payments please refer to CR 11419. In addition to claims-based payments, participating providers will receive quarterly per beneficiary per month payments as well as adjustments for performance-based payments. These payments shall be processed separately from the claims system and are not addressed in this CR and do not require any input from the FFS shared systems and/or Medicare Administrative Contractors (MACs).

In addition to special payment provisions for primary care services, there are special waivers under the model that allow for payment of other Medicare benefits under conditions that would not otherwise be paid for. When claims are paid under these special “waived” conditions, the claims are also to be tagged with the demonstration code.

Under this model, beneficiaries with diabetes are eligible for the standard Medicare diabetic shoe and orthotic supplies benefit if a nurse practitioner refers or certifies the beneficiary. Normally, these items are only paid under traditional Medicare FFS if a physician refers or certifies the beneficiary. The model is not changing the benefit coverage or limits in any other way than that of loosening the requirement for the referring or certifying provider to include nurse practitioners as well as physicians. Volume limits on supplies, any requirements regarding who can bill for the shoes and supplies, and any other edits that may be applicable to current FFS claims processing for these items shall not change under the model.

When a claim for the specified HCPCS codes for diabetic shoes and supplies are submitted, CMS requests the shared systems to perform the following:

Apply demo code ‘96’ to the claim if the claim is not payable under normal Medicare FFS rules and the ONLY reason is that the referring provider is not a physician, then go through the following steps:

a. Look at the beneficiary file provided to determine whether the beneficiary on the claim is participating in the PCF model on the service dates listed on the applicable claim line.

i. If not in the PCF model, process as normal FFS and do not apply demo code ‘96’;

ii. If in the PCF model, then go to step (b) below.

b. If yes (beneficiary in the model on the line date of service), check whether the provider NPI in the referring provider field on the claim is also listed in the model participating provider file on the date of service of the applicable claim line.

i. If not in the PCF model, do not apply demo code ‘96’

ii. If in the PCF model, then go to step (c) below.

- c. If the beneficiary and the provider are both participating in the model on the date of service of the applicable claim line, check to make sure that (per the beneficiary participation file) the beneficiary is attributed to the same practice that the referring provider is participating in (per the participating provider file) on that date of service.
- i. If the beneficiary is not attributed to the referring provider’s practice, do not apply demo code ‘96’ to the claim;
- ii. If the beneficiary is attributed to the referring provider’s practice on the date of service, then go to step (d) below.
- d. Tag the claim with the demo code on the header and with the benefit enhancement indicator (‘A’) on the applicable claim line.
- i. Any volume or other edits that would apply under traditional Medicare FFS should apply.
- ii. Coinsurance/Deductible, sequestration, etc. are all applied as under traditional Medicare FFS.

B. Policy: Under numerous states' laws, a nurse practitioner can provide primary care services, which include certifying home health services and diabetic shoe orders; however, under Medicare rules a physician (M.D or D.O) is required to certify diabetic shoe orders for beneficiaries. Many beneficiaries lack access to primary care physicians and are under the care of a nurse practitioner who serve their primary care provider. Prohibiting nurse practitioners from certifying diabetic shoe orders results in higher total cost of care and unnecessary utilization. Allowing nurse practitioners to certify diabetic shoe orders is consistent with numerous states’ Medicaid rules, other PCF payer partners, CMS’ direction of allowing greater use of non-physician practitioners, and the goal of supporting existing patient/provider relationships under the PCF Model.

Currently, Medicare pays for diabetic shoes only if a physician certifies the beneficiary’s eligibility for this benefit – not a nurse practitioner. This CR would waive Section 1861(s)(12) of the Act and the implementing regulations at 42 CFR 410.12 to allow nurse practitioners to certify that an order for diabetic shoes is required according to Section 1861(s)(12). This CR applies to all DME MAC Jurisdictions.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

| Number | Requirement | Responsibility | | | | | | | | |
|---------|--|----------------|---|-------------|----------------------------------|-------------|------------------|-------------|-------|-------------|
| | | A/B MAC | | D M E | Shared- System Maintainers | | | | Other | |
| | | A | B | | H H H | M A C | F I S S | M C S | | V M S |
| 11897.1 | The CMS specialty/operations contractor shall send the VDC the initial production provider participant files detailing PCF participating providers that are designated nurse practitioners using the attached file layout by 12/30/2020 for VMS. | | | | | | | X | | ACO OS |

| Number | Requirement | Responsibility | | | | | | | | | |
|-----------|--|----------------|---|-------------|----------------------------|----------------------------------|-------------|-------------|-------------|-------|---------------------|
| | | A/B MAC | | H H H | D M E M A C | Shared- System Maintainers | | | | Other | |
| | | A | B | | | F I S S | M C S | V M S | C W F | | |
| | The CMS operations contractor contacts are: Salauddin Shaik (Salauddin.Shaik@softrams.com); Vivek Trehan (Vivek.Trehan@softrams.com); Yani Mellacheruvu (Yani.Mellacheruvu@cms.hhs.gov); Aparna Vyas (Aparna.Vyas@cms.hhs.gov) | | | | | | | | | | |
| 11897.2 | The CMS specialty/operations contractor shall include data elements on the aligned beneficiary file as identified in the attached ICD (to be provided prior to final issuance of this CR). | | | | | | | | | | ACO OS |
| 11897.3 | The VDC shall accept the initial production beneficiary file layout for the PCF model for VMS as defined in the attached layout from CWF by 12/30/2020. | | | | | | | X | | | VDC |
| 11897.3.1 | VMS shall accept the provider file layout for the PCF model processing changes | | | | | | | X | | | VDC |
| 11897.4 | VMS shall accept the new beneficiary participation model file from CWF. | | | | | | | X | | | |
| 11897.5 | The contractors shall maintain and update date in the provider and beneficiary internals file which shall reflect the date the updated files were loaded into the shared system. NOTE: The date field shall be viewable to the MACs. | | | | | | | X | | | |
| 11897.6 | The CMS specialty/operations contractor shall deliver the provider participant and beneficiary alignment files to the Virtual Data Centers (VDCs) when they become available. | | | | | | | | | | ACO OS, CMS, VDC |
| 11897.6.1 | The CMS specialty/operations contractor shall transmit the provider participant and beneficiary alignment files through electronic file transfer (EFT). NOTE: The ICD shall identify the file names. | | | | | | | | | | ACO OS |
| 11897.6.2 | The CMS specialty/operations contractor shall notify the contractors of the provider participant and beneficiary alignment file names when they become available | | | | | | | | | | ACO OS, CMS, VDC |
| 11897.7 | Shared systems shall create response files acknowledging receipt of the provider participant and | | | | | | | X | | | ACO OS, CMS, VDC |

| Number | Requirement | Responsibility | | | | | | | |
|----------|--|----------------|---|-------------|----------------------------------|------------------|-------------|-------|-------------|
| | | A/B MAC | | D M E | Shared- System Maintainers | | | Other | |
| | | A | B | | H H H | F I S S | M C S | | V M S |
| 11897.14 | For line items billing a HCPCS identified in requirement 14 above, DME MACs when performing medical review shall not deny the line based on the ordering/referring being a nurse practitioner when demo code '96' is present on the claim. | | | | X | | | | |

III. PROVIDER EDUCATION TABLE

| Number | Requirement | Responsibility | | | | |
|--------|-------------|----------------|---|-------------|-------------|-------------|
| | | A/B MAC | | | D M E | C W F |
| | | A | B | H H H | | |
| | None | | | | | |

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

"Should" denotes a recommendation.

| X-Ref Requirement Number | Recommendations or other supporting information: |
|--------------------------|--|
| | |

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Tammy Luo, Tammy.Luo@cms.hhs.gov , Donna Schmidt, Donna.Schmidt@cms.hhs.gov , Bobbett Plummer, Bobbett.Plummer@CMS.hhs.gov , Wendy Jones, Wendy.Jones@cms.hhs.gov , ACO-OS OIT, ACO-OIT@cms.hhs.gov , Sarah Irie, Sarah.Irie@cms.hhs.gov , Chris Coutin, christopher.coutin@cms.hhs.gov , Cynthia Thomas, cynthia.thomas2@cms.hhs.gov , Jason Kerr, jason.kerr@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

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ATTACHMENTS: 0