CMS Manual System	**Department of Health & Human Services (DHHS)**
Pub 100-20 One-Time Notification	**Centers for Medicare & Medicaid Services (CMS)**
Transmittal 507	**Date: JUNE 19, 2009**
	Change Request 6513

Subject: Jurisdiction 13 A/B MAC Merge of the three Part B New York and the Part B Connecticut CICS Production and User Acceptance Test Regions

I. SUMMARY OF CHANGES: In order to maximize efficiency and cost savings from the J13 MAC implementation, CMS needs to merge the three existing sets of Part B New York CICS production and UAT regions with the Part B Connecticut set of CICS production and UAT regions.

New / Revised Material
Effective Date: December 12, 2009
Implementation Date: December 12, 2009

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)
R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	Chapter / Section / Subsection / Title
N/A	

III. FUNDING:
SECTION A: For Fiscal Intermediaries and Carriers: N/A

SECTION B: For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One-Time Notification

**Unless otherwise specified, the effective date is the date of service.*

Attachment – One-Time Notification

Pub. 100-20	Transmittal: 507	Date: June 19, 2009	Change Request: 6513

SUBJECT: Jurisdiction 13 A/B MAC Merge of the three Part B New York and the Part B Connecticut CICS Production and User Acceptance Test Regions

Effective Date: December 12, 2009

Implementation Date: December 12, 2009

I. GENERAL INFORMATION

Background: When the J13 A/B Medicare Administrative Contractor (MAC) became operational, its Part B workloads were set up in four different sets of customer information control system (CICS) processing and user acceptance testing (UAT) regions. In order to maximize efficiency and cost savings from the MAC implementation, The Centers for Medicare and Medicaid Services (CMS) needs to merge the three existing sets of Part B New York CICS production and UAT regions with the Part B Connecticut set of CICS production and UAT regions.

The assignment of Part B provider identification numbers (PINS) has traditionally been a contractor activity. In the past, some contractors that processed multiple workloads may have assigned PINS without regard to whether the same PIN was already being used in another CICS region under its control. In addition, different contractors generally did not coordinate their assignment of PINS, so they might have inadvertently assigned the same PIN to two different providers in different service areas. This was not a concern as long as the CICS regions remained separate. However, a MAC cannot accommodate more than one of the same PIN in a single merged CICS region. In this case, the J13 A/B MAC has identified almost 1800 duplicate PINs that will need to be eliminated.

Change Request (CR) 6199 provides for an approach to address this issue. We explain in both that CR and the following business requirements that the MCS maintainer will provide a program to identify duplicate PINS within the CICS regions that will be merged. The J13 A/B MAC will assign replacement PINS to both duplicate numbers, and the MCS maintainer will replace all references to the existing duplicate PINS within MCS with those new numbers. This CR repeats all of the Business Requirements (BR) from CR 6199 for informational purposes only and any BR's associated with CR 6199 will be handled as part of that CR.

Please note that while the effective date for many transitions tends to occur on a Monday, the effective date for this merge will occur on a Saturday due to the software being used to create and populate the CICS production regions.

The J13 A/B MAC contract is currently held by National Government Services. Its address is:

National Government Services
400 South Salina Street
Syracuse, NY 13202

B. Policy: N/A

II. BUSINESS REQUIREMENTS TABLE

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
6513.1	The CDS EDC shall create the necessary CICS regions for both user acceptance testing (UAT) and production to accommodate the merge of the three Part B New York workloads and the Part B Connecticut workload into a set of UAT and production regions.										CDS EDC
6513.1.1	The CDS EDC shall provide all of the support needed to successfully implement the new merged production and test regions, including (but not limited to) production support, testing, the running of split/merge and related software supplied by the MCS maintainer, and coordination.										CDS EDC
6513.2	The MCS shared system maintainer shall provide any necessary support to accomplish the merge, including software to perform the merge.							X			
6513.3	The J13 A/B MAC shall serve as the project lead. It shall coordinate and provide project management, testing and any other services needed to accomplish the merge successfully.										J13 A/B MAC
6513.3.1	The J13 A/B MAC shall perform the testing required to validate the merged production region and the EDC shall support that testing.	X									CDS EDC, J13 A/B MAC
6513.4	The MCS maintainer, in consultation with the J13 A/B MAC, the CDS EDC, and CMS shall make whatever changes are needed to accommodate the new merged CICS UAT and production regions. This may, for example, require changes to the valid locations within each MCS State, the movement of workload to different locations within that MCS State, or the revision of internal control numbers (ICNs).							X			J13 A/B MAC. CDS EDC
6513.4.1	The three Part B New York regions shall							X			J13 A/B

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	occupy State 2, 3 and 4 in the new merged CICS region. This is necessary so that MCS can produce data that will enable the J13 A/B MAC to aggregate the three New York workloads for CROWD reporting purposes.										MAC CDS EDC
6513.5	Within 14 days of completing the duplicate PIN replacement of PINS shared by any of the workloads being merged, the J13 A/B MAC shall supply the CERT maintainer (BlessingD@abmedcorp.com) and the ZPIC/PSC CMS business owner (John.Stewart@cms.hhs.gov) with an electronic list of the providers who have had their provider replaced. This list should include the original duplicate PIN and its replacement PIN, as well as the name of the provider, the workloads in which they are located.										J13 A/B MAC, CERT
6513.5.1	Following the merge, MCS shall produce a file for the CERT contractor for all workloads within the merged CICS region. That file shall be produced using the J13 Part B workload number of 13102.							X			J13 A/B MAC
6513.5.2	In the event the ICN of a claim selected for CERT review needs to be changed, MCS, in consultation with the J13 A/B MAC, shall provide the previous ICN, the new ICN and the provider number to the CERT contractor.							X			J13 A/B MAC, CERT
6513.6	As per CR 6199, BR 6199.1 the MCS maintainer shall provide the A/B MAC with file compare software that will produce a list of duplicate PINs found on the MCS Provider Eligibility file between the workloads scheduled to be merged.							X			J13 A/B MAC
6513.6.1	As per CR 6199, BR 6199.1.1, the software referred to in BR 6513.6 shall allow the A/B MAC to assign new numbers to those duplicate PINS by updating a user maintained DATAIN. The J13 A/B MAC shall use this software to assign the replacement PINs.							X			J13 A/B MAC

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
6513.6.2	As per CR 6199, BR 6199.2, the software referred to in 6513.6 shall also run a compare of the MCS duplicate PINs found in the A/B MACs workloads with the A/B MAC's PECOS provider file. The J13 A/B MAC shall ensure that this software is run.							X			J13 A/B MAC
6513.6.2.1	As per CR 6199, BR 6199.2.1, if after performing BR 6513.6.2, the duplicate PIN on the A/B MACs MCS master provider file is found in any of the PECOS files (V200 file) the PECOS record shall be added to the report. The report shall contain the following information: duplicate PIN, plan code, and PIN status. If the PIN is active, the field status shall be blank. If the PIN is inactive, the field status shall be "IN".							X			J13 A/B MAC
6513.6.2.2	The J13 A/B MAC shall take whatever precautions are necessary to ensure that it is not assigning duplicate PINs in multiple Part B workloads.										J13 A/B MAC
6513.7	As per CR 6199, BR 6199.3, the MCS maintainer shall create software that will produce a file that contains only the duplicate PINs from the PECOS file (as per BR 6513.4.2) as well as the newly assigned replacement PINs.							X			
6513.7.1	As per CR 6199, BR 6199.3.1, the MCS maintainer shall use the user maintained input file created by the MAC and the MCS provider file to create a crosswalk file to PECOS containing the following comma delimited data: CURRENT-PROV-NUMBER, ENROLLMENT ID, PAC-ID, CURR PIN END DATE, CARRIER-CODE-STATE, MAC CARRIER ID CODE, NEW-PROV-NUMBER, NEW-PROV-EFT-DATE.							X			
6513.7.2	As soon as possible and no later than 60 days prior to the effective date of the CR, the J13 A/B MAC shall send a copy of the file referred to in BR 6513.7.1 to the PECOS maintainer via	X									J13 A/B MAC

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	Jessica.Hoffman@cms.hhs.gov and Meena.Patel@cms.hhs.gov as well as the ZPIC/PSC CMS business owner (John.Stewart@cms.hhs.gov).										
6513.7.2.1	30 days prior to the effective date of this CR, the J13 A/B MAC shall send the most current version of the file referred to in BR 6513.7.1 to the PECOS maintainer via Jessica.Hoffman@cms.hhs.gov and Meena.Patel@cms.hhs.gov.										J13 A/B MAC
6513.7.2.2	Concurrent with the effective date of this CR, the J13 A/B MAC shall send the production version of the file referred to in BR 6513.7.1 to the PECOS maintainer via Jessica.Hoffman@cms.hhs.gov and Meena.Patel@cms.hhs.gov.										J13 A/B MAC
6513.8	The PECOS maintainer shall process the MCS import file referred to in BR 6513.7.1 to both its CMS validation and CMS production regions.										PECOS
6513.8.1	The PECOS maintainer shall process all enrollment records pertaining to the MCS import file referred to in 6513.7.1 regardless of its current status (both “final” and “non-final”) with the exception of enrollment records with the status of “deleted”.										PECOS
6513.8.2	The PECOS maintainer shall end date all practice locations associated to the duplicate PINs identified in the import file referred to in 6513.7.1. PECOS shall not change any end dates that had been previously assigned to the practice location.										PECOS
6513.8.3	The PECOS Maintainer shall replace each end-dated practice location with a current copy of that practice location. Each newly created practice location shall be an exact copy of all data (sans duplicate PIN) of each practice location that was end-dated. The PECOS Maintainer shall then add the newly assigned PIN to the practice location.										PECOS
6513.8.4	The PECOS Maintainer shall end-date the duplicate PIN in both Section 1 (Medicare ID) and Section 4 (Practice Location) for										PECOS

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	each enrollment record identified as belonging to a duplicate PIN as per BR 6513.7.1.										
6513.8.5	The PECOS Maintainer shall add the new PIN to both Section 1 (Medicare ID) and Section 4 (Practice Location) for each enrollment record. The effective date of the new PIN shall be set to set to the effective date indicated in the import file referred to in 6513.7.1.										PECOS
6513.8.6	The PECOS Maintainer shall maintain the relative order of practice location create dates, within the same enrollment record, for practice locations associated to those PINs received from MCS, as per 6513.7.1.										PECOS
6513.8.7	PECOS shall not modify the format of the current MCS export file generated nightly by PECOS.										PECOS
6513.8.8	PECOS shall not programmatically re-trigger enrollment records to MCS as part of CR 6199.										PECOS
6513.8.9	PECOS shall not change the status of the enrollment record prior to or after processing is complete.										PECOS
6513.8.10	PECOS shall not process duplicate PINs on enrollment records where the duplicate PIN (Section 1) and associated practice location(s) (Section 4) are both already end-dated.										PECOS
6513.8.11	PECOS shall process duplicate PINs on enrollment records where the duplicate PIN (Section 1) is end-dated and exists on an active practice location.										PECOS
6513.8.12	PECOS shall not specify a primary practice location for Medicare Part B enrollment records.										PECOS
6513.8.13	The PECOS Maintainer shall support a 2 month test window.										PECOS
6513.8.14	When a PECOS enrollment record containing a duplicate PIN is extracted to the a new MCS merged region, and the newly assigned PIN was not added to the enrollment record, MCS will process the										J13 A/B MAC

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	extract as a PECOS delete record and remove the PECOS history from MCS. This can cause end dates populated to the MCS provider file from a PECOS extract to be removed from the MCS provider file, in essence making the PIN active again for paying claims. In addition, when the duplicate PIN that is being replaced was created before PECOS implementation in October 2003, practice and pay-to addresses added to PECOS from CMS-855 applications will be removed from the MCS provider file history, causing inaccurate addresses to be added back to the provider file This will cause PECOS and MCS provider files to be out of sync. In order to correct this, following the effective date of the merge, the J13 A/B MAC shall identify those situations in PECOS on the original duplicate PIN record where the newly assigned PIN has not been posted and enter the newly assigned PIN. (CMS realizes that this may require a significant manual effort, depending on the number of replacement PINs that need to be posted.)										
6513.9	MCS shall utilize its split/merge software logic to replace all references to the duplicate PINS within MCS with appropriate references to the newly assigned replacement PINS.							X			
6513.10	MCS shall assign the provider start date belonging to the duplicate PIN to the newly assigned replacement PIN.							X			
6513.11	The J13 A/B MAC shall review and where necessary update all interface and non-base files & applications with appropriate references to the newly assigned replacement PINS, including but not limited to its EDI front end and its free /low cost EDI billing software.	X									J13 A/B MAC
6513.12	As per CR 6199, BR 6199.5, MCS shall							X			

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	modify its PECOS extract logic to bypass the duplicate PINS that have been end dated in PECOS so that MCS will not produce merge errors for these PINS. (All other PECOS end dated PINS shall continue to be subjected to the merge error logic within MCS.)										
6513.13	As per CR 6199, BR 6199.6, MCS shall provide a mechanism to prevent the J13 A/B MAC from incorrectly adding the duplicate PIN to the MCS provider file.							X			
6513.14	As soon as possible and no later than 90 days prior to the effective date of the CR, the J13 A/B MAC shall send a copy of the file referred to in BR 6513.7.1 to the NPI Crosswalk maintainer via Patricia.Ruther@cms.hhs.gov. Please note that this is for informational purposes only and that the actions being taken by the PECOS maintainer will result in appropriate updates being made to the NPI crosswalk.	X									J13 A/B MAC NPI CROSSWALK Maintainer
6513.14.1	In addition to the newly assigned replacement PINS, the NPI Crosswalk shall continue to carry the duplicate PINS. Please note that this will not impact contractor operations or payment										NPI CROSSWALK Maintainer
6513.15	The MCS Maintainer shall provide the J13 A/B MAC with a listing of the data elements for which it requires mappings to the J13 A/B MAC's current configuration.							X			J13 A/B MAC
6513.15.1	The J13 A/B MAC shall prepare mappings between the existing production and UAT regions and the configuration it wishes to use in the new merged region. This information shall be communicated to the MCS maintainer via DATAINs provided for in the MCS split/merge software.	X									J13 A/B MAC
6513.15.2	The MCS maintainer shall use the mappings supplied by the J13 A/B MAC to convert history (including purged history that had been converted to MCS) to reflect the							X			

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	mappings to be used in the new merged region.										
6513.16	Following the merge, the J13 A/B MAC shall continue to process and report upon the workload using the appropriate MAC state specific workload numbers.	X									J13 A/B MAC
6513.17	Following the merge into the single CICS production and UAT regions, all shared systems and CMS contractors shall continue to successfully interact with the state specific MAC workload numbers and the newly assigned replacement PINS.						X	X		X	BESS, CAFM, CERT, CMIS, CMS BDC, COBA, CROWD, CSAMS, DCS, ECRS, FISS, HCIS, HIGLAS, IRIS, the LCD, LOLA, NGD, the NPI crosswalk, PECOS, PIMR, PORS, PS&R, the PSC or ZPIC, PSOR, PULSE, QIES, REMAS, and REMIS, Maintainers ..
6513.18	MCS shall provide for the notification of anyone querying the system with a deleted duplicate PIN. If practical, the notification should point to the appropriate replacement PIN. If that is not practical, the notification							X			J13 A/B MAC

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
	shall return both replacement PINS and the J13 A/B MAC shall determine the appropriate PIN.										
6513.19	The J13 A/B MAC shall make any changes necessary to ensure its non-base applications and interfaces support the merged Part B region.										J13 A/B MAC

III. PROVIDER EDUCATION TABLE

Number	Requirement	A/B MAC	DME MAC	FI	CARRIER	RHHI	Shared-System Maintainers				OTHER
							FISS	MCS	VMS	CWF	
6513.20	At least 90 days prior to the effective date of this CR, the J13 A/B MAC shall notify each provider who will need to have its PIN changed of the new PIN and when it should begin using it.										J13 A/B MAC
6513.21	The J13 A/B MAC shall post this entire instruction, or a direct link to this instruction, on their Web site and include information about it in a listserv message within 1 week of the release of this instruction. In addition, the entire instruction shall be included in the Contractor's next regularly scheduled bulletin. If the J13 A/B MAC wants to supplement this with localized information that would benefit their provider community in billing and administering the Medicare program correctly, it shall seek approval from its CMS Project Officer (Marybeth.Jason@cms.hhs.gov) or her designee before doing so.										J13 A/B MAC

IV. SUPPORTING INFORMATION

A. For any recommendations and supporting information associated with listed requirements, use the box below:

Use "Should" to denote a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:
CR 6199	CR 6199, transmittal 395, dated October 24, 2008, provides for a systemic approach to address duplicate PINS in the workloads that are being merged. We explain in both that CR and the above business requirements that the MCS maintainer will provide a program to identify duplicate PINS within the CICS regions that will be merged. The J13 A/B MAC will assign replacement PINS to both duplicate numbers, and the MCS maintainer will replace all references to the existing duplicate PINS within MCS with those new numbers. This CR repeats all of the business requirements from CR 6199 for informational purposes only and any BRs associated with CR 6199 will be handled as part of that CR.

B. For all other recommendations and supporting information, use this space:

This CR is necessary in order for the CMS to realize significant additional efficiencies and cost savings from the J13 A/B MAC transition.

V. CONTACTS

Pre-Implementation Contact(s): Scott Levine (Scott.Levine@cms.hhs.gov or 212-616-2337) and Steven Felsenberg (Steven.Felsenberg@cms.hhs.gov or 410-786-2693).

Post-Implementation Contact(s): Scott Levine (Scott.Levine@cms.hhs.gov or 212-616-2337) and Steven Felsenberg (Steven.Felsenberg@cms.hhs.gov or 410-786-2693).

VI. FUNDING:

A. A: For Fiscal Intermediaries and Carriers: N/A

B. *For Medicare Administrative Contractors (MAC)*:

The contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the Statement of Work (SOW). The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.