



Kansas City

An Independent Licensee of the Blue Cross and Blue Shield Association

## Epidermal Growth Factor Receptor (EGFR) Mutation Analysis for Patients with Non-Small Cell Lung Cancer (NSCLC)

**Policy Number:** 2.04.45

**Last Review:** 3/2014

**Origination:** 3/2013

**Next Review:** 3/2015

### **Policy**

---

Blue Cross and Blue Shield of Kansas City (Blue KC) will provide coverage for epidermal growth factor receptor (EGFR) mutation analysis for patients with non-small cell lung cancer (NSCLC) when it is determined to be medically necessary because the criteria shown below are met.

### **When Policy Topic is covered**

---

Except as noted below, analysis of 2 types of somatic mutation within the EGFR gene—small deletions in exon 19 and a point mutation in exon 21 (L858R)—may be considered **medically necessary** to predict treatment response to erlotinib or afatinib in patients with advanced NSCLC of non-squamous cell type.

### **When Policy Topic is not covered**

---

Analysis of 2 types of somatic mutation within the EGFR gene—small deletions in exon 19 and a point mutation in exon 21 (L858R)—is considered **investigational** for patients with advanced NSCLC of squamous cell-type.

Analysis for other mutations within exons 18-24, or other applications related to NSCLC, is considered **investigational**.

### **Considerations**

---

The test is intended for use in patients with advanced NSCLC. Patients with either small deletions in exon 19 or a point mutation in exon 21 (L858R) of the tyrosine kinase domain of the epidermal growth factor gene are considered good candidates for treatment with erlotinib. Patients found to be wild type are unlikely to respond to erlotinib; other treatment options should be considered.

### **Description of Procedure or Service**

---

Epidermal growth factor receptor (EGFR) is a receptor tyrosine kinase (TK) frequently overexpressed and activated in non-small cell lung cancer (NSCLC). Mutations in 2 regions of the *EGFR* gene (exons 18- 24)—small deletions in exon 19, and a point mutation in exon 21 (L858R)—appear to predict tumor response to tyrosine kinase inhibitors (TKIs) such as erlotinib. This policy summarizes the evidence for using EGFR mutations to decide which patients with advanced NSCLC should be considered for erlotinib therapy and which are better suited for alternative therapies.

Treatment options for non-small cell lung cancer (NSCLC) depend on disease stage and include various combinations of surgery, radiation therapy, chemotherapy, and best supportive care. Unfortunately, in up to 85% of cases, the cancer has spread locally beyond the lungs at diagnosis, precluding surgical eradication. In addition, up to 40% of patients with NSCLC present with metastatic disease. (1) When treated with standard platinum-based chemotherapy, patients with advanced NSCLC have a median survival of 8 to 11 months and a 1-year survival of 30% to 45%. (2, 3)

Laboratory and animal experiments have shown that therapeutic blockade of the epidermal growth factor receptor (EGFR) pathway could be used to halt tumor growth in solid tumors that express EGFR. (4) These observations led to the development of 2 main classes of anti-EGFR agents for use in various types of cancer: small molecule tyrosine kinase inhibitors (TKIs) and monoclonal antibodies (MAbs) that block EGFR-ligand interaction. (5)

Two Three orally administered EGFR-selective small molecule tyrosine kinase inhibitors (TKIs) (quinazolinamine derivatives) have been identified for use in treating NSCLC: gefitinib (Iressa®, AstraZeneca), erlotinib (Tarceva®, OSI Pharmaceuticals), and afatinib (Gilotrif™, Boehringer Ingelheim). Only erlotinib and afatinib are approved by the U.S. Food and Drug Administration (FDA); gefitinib may be continued in patients already receiving gefitinib in the U.S.

### **Regulatory Status**

Erlotinib received initial FDA approval in 2004 for second-line treatment of patients with advanced NSCLC. In 2013, erlotinib indications were expanded to include first-line treatment of patients with metastatic NSCLC with *EGFR* exon 19 deletions or exon 21 (L858R) substitution mutations. (6) A companion diagnostic test, the cobas® *EGFR* Mutation Test, was co-approved for this indication. Afatinib was FDA-approved in July 2013 for first-line treatment of patients with metastatic NSCLC with *EGFR* exon 19 deletions or L858R mutations. (7) A companion diagnostic test, the theascreen® *EGFR* Rotor-Gene Q polymerase chain reaction (RGQ PCR) kit, was co-approved for this indication.

Both tests are polymerase chain reaction (PCR) assays. FDA-approved product labels for both erlotinib and afatinib indicate that *EGFR* mutations must be “detected by an FDA-approved test” but do not specify which test must be used.

### **Rationale**

---

This policy was created in 2006 and has been updated periodically using PubMed. The most recent literature search was conducted on December 12, 2013.

Two publications (8, 9) demonstrated that the underlying molecular mechanism underpinning dramatic responses in these favorably prognostic groups appeared to be the presence of activating somatic mutations in the TK domain of the *EGFR* gene, notably small deletions in exon 19 and a point mutation in exon 21 (L858R, indicating substitution of leucine by arginine at codon position 858). These can be detected by direct sequencing or polymerase chain reaction (PCR) technologies.

A TEC Assessment on this topic was first published in November 2007. (10) The Assessment concluded that there was insufficient evidence to permit conclusions about the clinical validity or utility of *EGFR* mutation testing to predict erlotinib sensitivity or to guide treatment in patients with NSCLC. This Assessment was updated in 2010, (11) with revised conclusions indicating that *EGFR* mutation testing has clinical utility in selecting or deselecting patients for treatment with erlotinib.

A 2013 meta-analysis (12) of 23 trials of erlotinib, gefitinib, and afatinib in patients with advanced NSCLC reported improved progression free survival (PFS) in *EGFR* mutation-positive patients treated with *EGFR* TKIs in the first- and second-line settings and for maintenance therapy. (Comparisons were to chemotherapy, chemotherapy and placebo, and placebo in the first-line, second-line, and maintenance therapy settings, respectively.) Among *EGFR* mutation-negative patients, PFS was improved with *EGFR* TKIs compared with placebo maintenance but not in the first- and second-line settings. Overall survival (OS) did not differ between treatment groups in either mutation-positive or mutation-negative patients. Statistical heterogeneity was not reported for any outcome. The authors concluded that *EGFR* mutation testing is indicated to guide treatment selection in NSCLC patients.

### *Erlotinib*

Thirteen publications provide data on *EGFR* mutations in tumor samples obtained from NSCLC patients in erlotinib treatment studies. Nine of these (13-21) were nonconcurrent-prospective studies of treatment-naïve and previously-treated patients who received erlotinib and were then tested for the presence or absence of mutations; 4 (shown in Table 1) were prospective 1-arm enrichment studies of mutation-positive or wild-type patients treated with erlotinib. In 3 studies of *EGFR* mutation-positive patients (22-24), objective radiologic response was 40% to 70%, median PFS was 8 to 14 months, and median OS was 16 to 29 months. In patients with wild-type tumors (25), objective radiologic response was 3.3%, PFS was 2.1 months, and overall survival (OS) was 9.2 months.

**Table 1.** Clinical Response in Prospective Studies of Erlotinib Therapy in Patients with *EGFR* Gene Mutation-Positive Advanced NSCLC\*

<b>Study (Year)</b>	<b>No. Mutated/ No. Tested (%)</b>	<b>Objective Radiologic Response (%)</b>	<b>Median PFS, mos. (95% CI)</b>	<b>Median OS, mos. (95% CI)</b>
<b><i>EGFR</i> Mutation Positive</b>				
Jackman et al. (2009) Prospective 1-arm treatment <i>EGFR</i> -positive patients with erlotinib, chemotherapy naïve (22)	84 enrolled	70	13	28.7
Rosell et al. (2009) Prospective 1-arm treatment <i>EGFR</i> -positive patients with erlotinib in treatment failure and chemotherapy naïve (23)	350/2105 (16.6)	70	14 (11.3-16,7)]	27 (24.9-33.1)
Sun et al. (2010) Prospective 1-arm treatment <i>EGFR</i> -positive patients with erlotinib in treatment failures (24)	144/164 (32)	40	8	15.8
<b><i>EGFR</i> Mutation Negative (Wild Type)</b>				
Yoshioka et al. (2010) Prospective 1-arm treatment <i>EGFR</i> wild-type	30 enrolled	3.3	2.1	9.2
<b>Study (Year)</b>	<b>No. Mutated/ No. Tested (%)</b>	<b>Objective Radiologic Response (%)</b>	<b>Median PFS, mos. (95% CI)</b>	<b>Median OS, mos. (95% CI)</b>
patients with erlotinib in treatment failures (25)				

\* All patients had stage IIIA/IV NSCLC.

CI, confidence interval; OS, overall survival; PFS, progression-free survival

In 2011, Zhou et al. reported the results of a Phase 3 prospective clinical trial of first-line treatment of Chinese patients with *EGFR* mutation (exon 19 deletion or L858R) positive NSCLC (87% adenocarcinoma) randomized to treatment with erlotinib (n=83) or standard chemotherapy (gemcitabine plus carboplatin, n=82). (26) PFS was significantly longer in patients who received erlotinib (13.1 vs. 4.5 months; hazard ratio [HR] 0.16 (p<0.001). Patients treated with erlotinib experienced fewer grade 3 and 4 toxic effects and more clinically relevant improvements in quality of life (27) than those who received chemotherapy. These results were duplicated in a European population in the 2012 EURTAC trial (NCT00446225), a multicenter, open-label, randomized Phase 3 trial. (28) Adult patients with *EGFR* mutations (exon 19 deletion or L858R mutation in exon 21) with NSCLC were randomized. Eighty-six received erlotinib, and 87 received standard chemotherapy. A planned interim analysis showed that the primary endpoint had been met. At the time the study was halted (Jan 26, 2011), median PFS was 9.7 months (95% CI: 8.4 to 12.3) vs. 5.2 months (95% CI: 4.5 to 5.8) in the erlotinib and standard chemotherapy groups, respectively (HR 0.37 [95% CI: 0.25 to 0.54]; p<0.001). Six percent of patients receiving erlotinib had treatment-related severe adverse events compared to 20% of those receiving a standard chemotherapy regimen.

In 2011, Petrelli et al. (29) reported a meta-analysis of 13 randomized trials of 1,260 patients with *EGFR* mutated NSCLC who received tyrosine kinase inhibitors (TKIs) for first-line, second-line, or maintenance therapy, and compared outcomes to standard therapy. Overall, they noted that in patients, use of *EGFR* TKIs increased the chance of obtaining an objective response almost 2-fold when compared to chemotherapy. Response rates were 70% vs. 33% in first-line trials and 47% vs. 28.5% in second-line trials. Tyrosine kinase inhibitors reduced the hazard of progression by 70% in all trials and by 65% in first-line trials; however, they did not improve overall survival.

In a 2010 pooled analysis of patients with *EGFR* mutations (most commonly exon 19 deletions and L858R substitution mutations in exon 21), median PFS was 13.2 months in patients treated with erlotinib and 5.9 months in patients treated with standard chemotherapy (p<0.001). (30) Patients with *EGFR* mutations appear to be ideal candidates for treatment with erlotinib. Identification of patients likely to respond or fail to respond to erlotinib leads to tailored choices of treatment likely to result in predictable and desirable outcomes.

Nine other studies totaling 630 patients have compared outcomes in *EGFR* mutation-positive and *EGFR* wild-type patients who were treated with erlotinib. (Table 2)

- Objective radiologic response rates ranged from 0% to 83% (median 45%) in patients with *EGFR* mutation-positive tumors and from 0% to 18% (median 5.5%) in patients with wild-type tumors. All 5 studies that statistically evaluated results demonstrated statistically significant increases in objective radiologic response among patients with *EGFR* mutation-positive tumors.
- Progression free survival ranged from 6.8 to 13.1 months (median 12.5) in patients with *EGFR* mutation-positive tumors and from 1.4 to 5 months (median 2.5) in patients with wild-type tumors. In all studies in which these data were reported, patients with *EGFR* mutation-positive tumors showed a trend or a statistically significant increase in PFS.
- Overall survival ranged from 10 to 35 months (median 21) in patients with *EGFR* mutation-positive tumors and from 3 to 12 months (median 8.1) in patients with wild-type tumors. In all cases in which these data were reported, *EGFR* mutation-positive tumors showed a trend or a statistically significant increase in overall survival.

**Table 2.** Outcomes in Patients According to *EGFR* Mutation Status in Response to Treatment with Erlotinib (9 studies of 630 patients)

	<b>Overall Radiologic Response, % (range)</b>	<b>Median PFS, months (range)</b>	<b>Median OS, months (range)</b>
<i>EGFR</i> Mutation-Positive Patients	45 (0 – 83)	12.5 (6.8 – 13.1)	21 (10 – 35)
Wild-Type Patients	5.5 (0 – 18)	2.5 (1.4 – 5)	8.1 (3 - 12)
Untested Patients (Intent to Treat) – FDA	Not reported	2.8	12

Label			
-------	--	--	--

OS, overall survival; PFS, progression-free survival

In a 2013 RCT, Garassino and colleagues in Italy compared the efficacy of erlotinib and docetaxel as second-line therapy in 219 *EGFR* wild-type patients with metastatic NSCLC who had received previous platinum-based therapy. (31) Most patients (69%) had adenocarcinoma; 25% had squamous cell carcinoma (SCC). With a median follow-up of 33 months, median PFS was 2.9 months with docetaxel and 2.4 months with erlotinib (adjusted HR 0.71 [95% CI: 0.53 to 0.95];  $p=0.02$ ). Median overall survival was 8.2 months with docetaxel and 5.4 months with erlotinib (adjusted HR 0.73 [95% CI: 0.53 to 1.00];  $p=0.05$ ). Grade 3 or higher skin adverse events occurred in 14% of the erlotinib group and did not occur in the docetaxel group. Grade 3 or higher neutropenia occurred only in the docetaxel group (20%). As stated in an accompanying editorial, “[T]he efficacy of *EGFR* tyrosine kinase inhibitors is very limited for second-line treatment of wild-type *EGFR* NSCLC.” (32) A 2013 meta-analysis of 3 trials in patients with wild-type *EGFR* reported improved overall survival with erlotinib treatment in second and third line and maintenance settings. (33) However, 75% of patients in the control arms in this analysis received placebo.

*EGFR* mutations may provide prognostic information (about disease recurrence and survival) as well as predictive information (about treatment response). In a 2005 study by Eberhard et al. (18), improved outcomes were observed for *EGFR* mutation-positive patients compared with wild-type patients regardless of treatment (standard chemotherapy or standard chemotherapy plus erlotinib). Objective radiologic response was 38% vs. 23% ( $p=0.01$ ), median time to progression was 8 months vs. 5 months ( $p<0.001$ ), and median OS was not reached vs. 10 months ( $p<0.001$ ).

#### Afatinib

Unlike erlotinib (and gefitinib) that selectively inhibit EGFR, afatinib inhibits not only EGFR but also human epidermal growth factor receptor 2 (HER2) and HER4, and may have activity in patients with acquired resistance to TKIs (who often harbor a T790M mutation [substitution of threonine by methionine at codon 790] in EGFR exon 20). The efficacy and safety of afatinib was evaluated in the LUX-Lung series of studies.

LUX-Lung 3 was an RCT in 345 patients with stage IIIB or IV, *EGFR* mutation-positive, lung adenocarcinoma who were previously untreated for advanced disease. (34) Seventy-two percent of patients were Asian, 26% were white, and 90% (308 patients) had common *EGFR* mutations (exon 19 deletion or L858R substitution mutation in exon 21). Patients received either afatinib or chemotherapy (cisplatin plus pemetrexed). In stratified analysis of patients with common *EGFR* mutations, median PFS was 13.6 months for the afatinib group and 6.9 months for the chemotherapy group (HR 0.47 [95% CI: 0.34 to 0.65];  $p=0.001$ ). Median PFS for the 10% of patients who had other *EGFR* mutations was not reported, but median PFS for the entire patient sample was 11.1 months in the afatinib group and 6.9 months in the chemotherapy group (HR 0.58 [95% CI: 0.43 to 0.78];  $p=0.001$ ). Incidence of objective response in the entire patient sample was 56% in the afatinib group and 23% in the chemotherapy group ( $p=0.001$ ). With a median follow-up of 16.4 months, median OS was not reached in any group; preliminary analysis indicated no difference in OS between the 2 treatment groups in the entire patient sample (HR 1.12 [95% CI: 0.73 to 1.73];  $p=0.60$ ). Patients in the afatinib group reported greater improvements in dyspnea, cough, and global health status/quality of life than those in the chemotherapy group. (35) Grade 3 or higher diarrhea, rash, and paronychia (nail infection) occurred in 14%, 16%, and 11% of afatinib-treated patients, respectively, and in no patients in the chemotherapy group. Grade 3 or higher mucositis (primarily stomatitis) occurred in 9% of the afatinib group and 0.9% of the chemotherapy group. (34)

Three other published LUX-Lung studies evaluated patients with stage IIIB or IV lung adenocarcinoma who were previously treated for advanced disease, but each had design flaws that limit the interpretation of results.

- LUX-Lung 2 was a single arm study of afatinib in 129 patients (87% Asian, 12% white) with *EGFR* mutation-positive disease. (36) Patients had been treated with previous chemotherapy but not with *EGFR*-targeted therapy; approximately half of patients (enrolled after a protocol amendment) were chemotherapy-naïve. Objective responses (primarily partial responses) were observed in 66% of 106 patients with common *EGFR* mutations (exon 19 deletion or L858R) and in 39% of 23 patients with other *EGFR* mutations. Median PFS was 13.7 months in patients with common *EGFR* mutations and 3.7 months in patients with other *EGFR* mutations (*p*-values not reported). Results for mutation-negative patients were not reported.
- LUX-Lung 1 and LUX-Lung 4 enrolled patients who had progressed on previous erlotinib, gefitinib, or both for advanced disease. Neither study prospectively genotyped patients. In the LUX-Lung 1 double-blind RCT (37), 96 of 585 enrolled patients (66% Asian, 33% white) were *EGFR* mutation-positive (76 common *EGFR* mutation-positive). In this group, median PFS was 3.3 months in the afatinib group and 1.0 month in the placebo group (HR 0.51 [95% CI: 0.31 to 0.85]; *p*=0.009). In 45 mutation-negative patients, median PFS was 2.8 months in the afatinib group and 1.8 months in the placebo group, a statistically nonsignificant difference (*p*=0.22), possibly due to small group sizes. LUX-Lung 4 was a single-arm study of afatinib in 62 Japanese patients. (38) Objective responses occurred in 2 of 36 patients with common *EGFR* mutations (5%) and in none of 8 patients with other *EGFR* mutations (*p*>0.05).

#### *EGFR Mutation Frequency*

In 2009, Rosell et al. (20) reported *EGFR* mutations in 16.6% of the overall patient sample but noted an increased prevalence in women (69.7%), patients who never smoked (66.6%), and patients with adenocarcinomas (80.9%). Based on these findings, Rosell et al. recommended *EGFR* mutation screening in women with lung cancer with nonsquamous cell tumors who have never smoked. Other reports on the mutation frequencies have found higher prevalences among East Asians when compared with other ethnicities (38% vs. 15%, respectively). (21) Although there is a greater proportion of *EGFR* mutations in these special populations (women, never smokers, patients with adenocarcinoma, and/or Asians), many patients without these selected demographics still exhibit *EGFR* mutations and would benefit from erlotinib treatment.

In a comprehensive analysis of 14 studies involving 2,880 patients, Mitsudomi et al. (39) reported *EGFR* mutations in 10% of men, 7% of non-Asian patients, 7% of current or former smokers, and 2% of patients with nonadenocarcinoma histologies. Although histology appeared to be the strongest discriminator, results varied across studies; for example, Eberhard et al. (18) observed *EGFR* mutations in 6.4% of patients with squamous cell carcinomas (SCCs) and Rosell et al. (23) in 11.5% of patients with large cell carcinomas. (Both of these studies had small sample sizes.)

For patients with SCC, current guidelines from the National Comprehensive Cancer Network (NCCN) (40) indicate that the low incidence of *EGFR* mutations in SCC “does not justify routine testing of all tumor specimens.” This conclusion is based on the Sanger Institute’s Catalogue of Somatic Mutations in Cancer (COSMIC) (41) that reported an observed *EGFR* mutation incidence of 2.7% in patients with SCC with an upper confidence limit for the true incidence of 3.6%. NCCN guidelines recommend consideration of mutation testing in never smokers with SCC or when biopsy specimens are small and histology is mixed. (40) This recommendation was based on a case series of 13 patients with squamous or pseudosquamous histology. (42) However, 7 patients (54%) were subsequently determined to have adenocarcinoma histology. All 6 remaining patients were never smokers, and all 6 had an exon 19 deletion or L858R substitution mutation in *EGFR*.

In 2013, the College of American Pathologists, International Association for the Study of Lung Cancer, and Association for Molecular Pathology published joint evidence-based guidelines for molecular testing to select *EGFR* TKI therapy in patients with lung cancer. (43) An *EGFR* mutation incidence of 0% to 5% in patients with SCC was reported. Recommendations for *EGFR* mutation testing in patients with SCC depend on tumor sample availability:

- For fully excised lung cancer specimens, *EGFR* testing is not recommended when an adenocarcinoma component is lacking, eg, tumors with pure squamous cell histology with no immunohistochemistry evidence of adenocarcinoma differentiation (eg, thyroid transcription factor 1 [TTF-1] or mucin positive). (Evidence grade A, excellent quality evidence)
- When lung cancer specimens are limited (eg, biopsy, cytology) and an adenocarcinoma component cannot be completely excluded, *EGFR* testing may be performed in cases showing squamous cell histology; clinical criteria (eg, lack of smoking history) may be useful to select a subset of these samples for testing. (Evidence grade A, excellent quality evidence)

Two studies may support the potential value of *EGFR* mutation testing in patients with SCC, particularly in Asian populations. However, similar studies have not been reported in non-Asian populations nor in populations treated with erlotinib. A 2009 study by Park et al. (44) of preselected Korean patients treated with gefitinib reported *EGFR* mutations in 3 out of 20 male smokers with SCC (15%), a patient subgroup expected to have a low prevalence of *EGFR* mutations based on demographics. Clinical response was observed in 2 of 3 mutation-positive patients and 1 of 17 wild-type patients; median PFS was 5.8 months in patients with mutated *EGFR* and 2.4 months in the wild-type group ( $p=0.07$ ). In vivo analyses by Dobashi et al. (45) showed that in Japanese patients with both adenocarcinomas and SCCs, *EGFR* mutations were associated with downstream phosphorylation of *EGFR* and constitutive activation of the *EGFR* pathway.

In contrast, Fang and colleagues (2013) reported *EGFR* mutations (all L858R) in 2% (3 patients) of 146 consecutively treated Chinese patients with early stage SCC. (46) In a separate cohort of 63 Chinese patients with SCC who received erlotinib or gefitinib as second or third line treatment (63% never smokers, 21% women), *EGFR* mutation prevalence (all exon 19 deletion or L858R) was 23.8%. Objective response occurred in 26.7% of 15 *EGFR* mutation-positive and 2.1% of 48 mutation-negative patients ( $p=0.002$ ). Median PFS was 3.9 months and 1.9 months, respectively ( $p=0.19$ ). Based on these findings, the authors concluded that routine *EGFR* mutation testing of all SCC specimens is not justified.

### *EGFR* Mutation Testing

Gene sequencing is generally considered an analytic gold standard. In 2010, the Canadian Agency for Drugs and Technologies in Health (CADTH) published a rapid response report on *EGFR* mutation analysis. (47) Based on 11 observational studies, the report authors concluded that PCR-based approaches identify *EGFR* mutations with a sensitivity equivalent to that of direct sequencing.

### Summary

Several randomized controlled trials, non-concurrent prospective studies, and single-arm enrichment studies demonstrate that detection of epidermal growth factor receptor (*EGFR*) gene mutations identifies patients with non-small cell lung cancer (NSCLC) who are likely to benefit from erlotinib or afatinib therapy and who are therefore ideal candidates for treatment with these drugs. These observations have been made in populations of patients with primarily adenocarcinomas. Currently, there is little evidence to indicate that *EGFR* mutation testing can guide treatment selection in patients with squamous cell histology.

Patients who are found to have wild-type tumors are unlikely to respond to erlotinib or afatinib. These patients should be considered candidates for alternative therapies.

*EGFR* mutational analysis may be considered medically necessary to predict treatment response to erlotinib or afatinib in patients with advanced NSCLC; however, *EGFR* mutational analysis is investigational in patients with NSCLC of squamous-cell type.

### National Comprehensive Cancer Network (NCCN) Guidelines

NCCN's current clinical practice guidelines for the treatment of NSCLC (version 2.2014, discussion update in progress) (40) recommend *EGFR* mutational analysis in patients with advanced NSCLC. "Erlotinib is recommended as first-line therapy in patients with sensitizing *EGFR* mutations and should not be given as first-line therapy to patients negative for these *EGFR* mutations or with unknown *EGFR* status." Afatinib is recommended as first- or second-line therapy "for select patients with sensitizing *EGFR* mutations." In patients with squamous cell carcinoma (SCC), *EGFR* mutation testing should be considered "especially in" never smokers; when histology is assessed using small biopsy specimens (rather than surgically resected samples); or when histology is mixed adenosquamous.

### **American Society of Clinical Oncology (ASCO) Provisional Clinical Opinion**

In 2011, the (ASCO) issued a provisional clinical opinion on *EGFR* mutation testing for patients with advanced NSCLC who are considering first-line *EGFR* tyrosine kinase inhibitor therapy. (48) The authors concluded that such patients who have not previously received chemotherapy or an *EGFR* tyrosine kinase inhibitor (TKI) should undergo *EGFR* mutation testing to determine whether chemotherapy or an *EGFR* TKI is appropriate first-line treatment.

### **College of American Pathologists (CAP) Joint Guideline**

In 2013, CAP, the International Association for the Study of Lung Cancer, and the Association for Molecular Pathology published evidence-based guidelines for molecular testing to select patients with lung cancer for treatment with *EGFR* TKI therapy.(43) Based on excellent quality evidence (category A), the guidelines recommend *EGFR* mutation testing in patients with lung adenocarcinoma regardless of clinical characteristics, such as smoking history. Guidelines for *EGFR* mutation testing in patients with SCC are reviewed in the Rationale section of the policy (see *EGFR* Mutation Frequency).

### **American College of Chest Physicians (ACCP) Guidelines**

ACCP updated its evidence-based clinical practice guidelines on the treatment of stage IV NSCLC in 2013. (49) Based on their review of the literature, guideline authors reported improved response rates, PFS, and toxicity profiles with first-line erlotinib or gefitinib compared with first-line platinum-based therapy in patients with *EGFR* mutations, especially exon 19 deletions and L858R. ACCP recommends "testing patients with NSCLC for *EGFR* mutations at the time of diagnosis whenever feasible, and treating with first-line *EGFR* TKIs if mutation-positive."

### **References**

1. Fathi AT, Brahmer JR. Chemotherapy for advanced stage non-small cell lung cancer. *Semin Thorac Cardiovasc Surg* 2008; 20(3):210-6.
2. Martoni A, Marino A, Sperandi F et al. Multicentre randomised phase III study comparing the same dose and schedule of cisplatin plus the same schedule of vinorelbine or gemcitabine in advanced non-small cell lung cancer. *Eur J Cancer* 2005; 41(1):81-92.
3. Rudd RM, Gower NH, Spiro SG et al. Gemcitabine plus carboplatin versus mitomycin, ifosfamide, and cisplatin in patients with stage IIIB or IV non-small-cell lung cancer: a phase III randomized study of the London Lung Cancer Group. *J Clin Oncol* 2005; 23(1):142-53.
4. Fruehauf J. *EGFR* function and detection in cancer therapy. *J Exp Ther Oncol* 2006; 5(3):231-46.
5. Heymach JV. ZD6474--clinical experience to date. *Br J Cancer* 2005; 92 Suppl 1:S14-20.
6. OSI Pharmaceuticals. Tarceva® (erlotinib) tablets for oral use prescribing information, October 2013. Available online at: <http://www.tarceva.com>
7. Boehringer Ingelheim Pharmaceuticals, Inc. Gilotrif™ (afatinib) tablets for oral use prescribing information, November 2013. Available online at: <http://www.gilotrif.com/>
8. Lynch TJ, Bell DW, Sordella R et al. Activating mutations in the epidermal growth factor receptor underlying responsiveness of non-small-cell lung cancer to gefitinib. *N Engl J Med* 2004; 350(21):2129-39.
9. Paez JG, Janne PA, Lee JC et al. *EGFR* mutations in lung cancer: correlation with clinical response to gefitinib therapy. *Science* 2004; 304(5676):1497-500.

10. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Epidermal growth factor receptor (EGFR) mutations and tyrosine kinase inhibitor therapy in advanced non-small-cell lung cancer. TEC Assessments 2007; Volume 22, Tab 6.
11. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Epidermal growth factor receptor (EGFR) mutations and tyrosine kinase inhibitor therapy in advanced non-small-cell lung cancer. TEC Assessments 2010; Volume 25, Tab 6.
12. Lee CK, Brown C, Gralla RJ et al. Impact of EGFR inhibitor in non-small cell lung cancer on progression-free and overall survival: a meta-analysis. *J Natl Cancer Inst* 2013; 105(9):595-605.
13. Ahn MJ, Park BB, Ahn JS et al. Are there any ethnic differences in molecular predictors of erlotinib efficacy in advanced non-small cell lung cancer? *Clin Cancer Res* 2008; 14(12):3860-6.
14. Amann JM, Lee JW, Roder H et al. Genetic and proteomic features associated with survival after treatment with erlotinib in first-line therapy of non-small cell lung cancer in Eastern Cooperative Oncology Group 3503. *J Thorac Oncol* 2010; 5(2):169-78.
15. Felip E, Rojo F, Reck M et al. A phase II pharmacodynamic study of erlotinib in patients with advanced non-small cell lung cancer previously treated with platinum-based chemotherapy. *Clin Cancer Res* 2008; 14(12):3867-74.
16. Miller VA, Riely GJ, Zakowski MF et al. Molecular characteristics of bronchioloalveolar carcinoma and adenocarcinoma, bronchioloalveolar carcinoma subtype, predict response to erlotinib. *J Clin Oncol* 2008; 26(9):1472-8.
17. Schneider CP, Heigener D, Schott-von-Romer K et al. Epidermal growth factor receptor-related tumor markers and clinical outcomes with erlotinib in non-small cell lung cancer: an analysis of patients from german centers in the TRUST study. *J Thorac Oncol* 2008; 3(12):1446-53.
18. Eberhard DA, Johnson BE, Amler LC et al. Mutations in the epidermal growth factor receptor and in KRAS are predictive and prognostic indicators in patients with non-small-cell lung cancer treated with chemotherapy alone and in combination with erlotinib. *J Clin Oncol* 2005; 23(25):5900-9.
19. Giaccone G, Gallegos Ruiz M, Le Chevalier T et al. Erlotinib for frontline treatment of advanced non-small cell lung cancer: a phase II study. *Clin Cancer Res* 2006; 12(20 Pt 1):6049-55.
20. Jackman DM, Yeap BY, Lindeman NI et al. Phase II clinical trial of chemotherapy-naive patients > or = 70 years of age treated with erlotinib for advanced non-small-cell lung cancer. *J Clin Oncol* 2007; 25(7):760-6.
21. Zhu CQ, da Cunha Santos G, Ding K et al. Role of KRAS and EGFR as biomarkers of response to erlotinib in National Cancer Institute of Canada Clinical Trials Group Study BR.21. *J Clin Oncol* 2008; 26(26):4268-75.
22. Jackman DM, Miller VA, Cioffredi LA et al. Impact of epidermal growth factor receptor and KRAS mutations on clinical outcomes in previously untreated non-small cell lung cancer patients: results of an online tumor registry of clinical trials. *Clin Cancer Res* 2009; 15(16):5267-73.
23. Rosell R, Moran T, Queralt C et al. Screening for epidermal growth factor receptor mutations in lung cancer. *N Engl J Med* 2009; 361(10):958-67.
24. Sun JM, Won YW, Kim ST et al. The different efficacy of gefitinib or erlotinib according to epidermal growth factor receptor exon 19 and exon 21 mutations in Korean non-small cell lung cancer patients. *J Cancer Res Clin Oncol* 2011; 137(4):687-94.
25. Yoshioka H, Hotta K, Kiura K et al. A phase II trial of erlotinib monotherapy in pretreated patients with advanced non-small cell lung cancer who do not possess active EGFR mutations: Okayama Lung Cancer Study Group trial 0705. *J Thorac Oncol* 2010; 5(1):99-104.
26. Zhou C, Wu YL, Chen G et al. Erlotinib versus chemotherapy as first-line treatment for patients with advanced EGFR mutation-positive non-small-cell lung cancer (OPTIMAL, CTONG-0802): a multicentre, open-label, randomised, phase 3 study. *Lancet Oncol* 2011; 12(8):735-42.
27. Chen G, Feng J, Zhou C et al. Quality of life (QoL) analyses from OPTIMAL (CTONG-0802), a phase III, randomised, open-label study of first-line erlotinib versus chemotherapy in patients with advanced EGFR mutation-positive non-small-cell lung cancer (NSCLC). *Ann Oncol* 2013; 24(6):1615-22.
28. Rosell R, Carcereny E, Gervais R et al. Erlotinib versus standard chemotherapy as first-line treatment for European patients with advanced EGFR mutation-positive non-small-cell lung cancer (EURTAC): a multicentre, open-label, randomised phase 3 trial. *Lancet Oncol* 2012; 13(3):239-46.

29. Petrelli F, Borgonovo K, Cabiddu M et al. Efficacy of EGFR Tyrosine Kinase Inhibitors in Patients With EGFR-Mutated Non-Small Cell-Lung Cancer: A Meta-Analysis of 13 Randomized Trials. *Clin Lung Cancer* 2012; 13(2):107-14.
30. Paz-Ares L, Soulieres D, Melezinek I et al. Clinical outcomes in non-small-cell lung cancer patients with EGFR mutations: pooled analysis. *J Cell Mol Med* 2010; 14(1-2):51-69.
31. Garassino MC, Martelli O, Brogginini M et al. Erlotinib versus docetaxel as second-line treatment of patients with advanced non-small-cell lung cancer and wild-type EGFR tumours (TAILOR): a randomised controlled trial. *Lancet Oncol* 2013; 14(10):981-88.
32. Jassem J, Dziadziuszko R. EGFR inhibitors for wild-type EGFR NSCLC: to use or not to use? *Lancet Oncol* 2013; 14(10):916-17.
33. Jazieh AR, Al Sudairy R, Abu-Shraie N et al. Erlotinib in wild type epidermal growth factor receptor non-small cell lung cancer: A systematic review. *Ann Thorac Med* 2013; 8(4):204-8.
34. Sequist LV, Yang JC-H, Yamamoto N et al. Phase III Study of Afatinib or Cisplatin Plus Pemetrexed in Patients With Metastatic Lung Adenocarcinoma With EGFR Mutations. *J Clin Oncol* 2013; 31(27):3327-34.
35. Yang JC-H, Hirsh V, Schuler M et al. Symptom Control and Quality of Life in LUX-Lung 3: A Phase III Study of Afatinib or Cisplatin/Pemetrexed in Patients With Advanced Lung Adenocarcinoma With EGFR Mutations. *J Clin Oncol* 2013; 31(27):3342-50.
36. Yang JC-H, Shih J-Y, Su W-C et al. Afatinib for patients with lung adenocarcinoma and epidermal growth factor receptor mutations (LUX-Lung 2): a phase 2 trial. *Lancet Oncol* 2012; 13(5):539-48.
37. Miller VA, Hirsh V, Cadranell J et al. Afatinib versus placebo for patients with advanced, metastatic non-small-cell lung cancer after failure of erlotinib, gefitinib, or both, and one or two lines of chemotherapy (LUX-Lung 1): a phase 2b/3 randomised trial. *Lancet Oncol* 2012; 13(5):528-38.
38. Katakami N, Atagi S, Goto K et al. LUX-Lung 4: A Phase II Trial of Afatinib in Patients With Advanced Non-Small-Cell Lung Cancer Who Progressed During Prior Treatment With Erlotinib, Gefitinib, or Both. *J Clin Oncol* 2013; 31(27):3335-41.
39. Mitsudomi T, Kosaka T, Yatabe Y. Biological and clinical implications of EGFR mutations in lung cancer. *Int J Clin Oncol* 2006; 11(3):190-8.
40. National Comprehensive Cancer Network. NCCN Clinical Practice Guidelines in Oncology: Non-Small Cell Lung Cancer, version 2.2014 (discussion update in progress). Available online at: [http://www.nccn.org/professionals/physician\\_gls/PDF/nscl.pdf](http://www.nccn.org/professionals/physician_gls/PDF/nscl.pdf)
41. Forbes SA, Bhamra G, Bamford S et al. The Catalogue of Somatic Mutations in Cancer (COSMIC). *Curr Protoc Hum Genet* 2008; Chapter 10:Unit 10 11.
42. Paik PK, Varghese AM, Sima CS et al. Response to erlotinib in patients with EGFR mutant advanced non-small cell lung cancers with a squamous or squamous-like component. *Mol Cancer Ther* 2012; 11(11):2535-40.
43. Lindeman NI, Cagle PT, Beasley MB et al. Molecular testing guideline for selection of lung cancer patients for EGFR and ALK tyrosine kinase inhibitors: guideline from the College of American Pathologists, International Association for the Study of Lung Cancer, and Association for Molecular Pathology. *Arch Pathol Lab Med* 2013; 137(6):828-60.
44. Park SH, Ha SY, Lee JI et al. Epidermal growth factor receptor mutations and the clinical outcome in male smokers with squamous cell carcinoma of lung. *J Korean Med Sci* 2009; 24(3):448-52.
45. Dobashi Y, Suzuki S, Kimura M et al. Paradigm of kinase-driven pathway downstream of epidermal growth factor receptor/Akt in human lung carcinomas. *Hum Pathol* 2011; 42(2):214-26.
46. Fang W, Zhang J, Liang W et al. Efficacy of epidermal growth factor receptor-tyrosine kinase inhibitors for Chinese patients with squamous cell carcinoma of lung harboring EGFR mutation. *J Thorac Dis* 2013; 5(5):585-92.
47. Mujoomdar M, Moulton K, Spry C. Epidermal Growth Factor Receptor Mutation Analysis in Advanced Non-Small Cell Lung Cancer: A Review of the Clinical Effectiveness and Guidelines. Ottawa: Canadian Agency for Drugs and Technologies in Health; 2010. Available online at: <http://www.cadth.ca/en/search?q=epidermal+growth+factor+receptor>
48. Keedy VL, Temin S, Somerfield MR et al. American Society of Clinical Oncology provisional clinical opinion: epidermal growth factor receptor (EGFR) Mutation testing for patients with advanced non-small-cell lung cancer considering first-line EGFR tyrosine kinase inhibitor therapy. *J Clin Oncol* 2011; 29(15):2121-7.

49. Socinski MA, Evans T, Gettinger S et al. Treatment of stage iv non-small cell lung cancer: Diagnosis and management of lung cancer, 3rd ed: american college of chest physicians evidence-based clinical practice guidelines. Chest 2013; 143(5\_suppl):e341S-e68S.

---

**Billing Coding/Physician Documentation Information**

---

**81235** EGFR (epidermal growth factor receptor) (eg, non-small cell lung cancer) gene analysis, common variants (eg, exon 19 LREA deletion, L858R, T790M, G719A, G719S, L861Q)

---

**Additional Policy Key Words**

---

N/A

---

**Policy Implementation/Update Information**

---

3/1/13 New policy; may be considered medically necessary.

3/1/14 Medically necessary policy statement changed to include afatinib. Additional info on description and added Regulatory Status.

---

State and Federal mandates and health plan contract language, including specific provisions/exclusions, take precedence over Medical Policy and must be considered first in determining eligibility for coverage. The medical policies contained herein are for informational purposes. The medical policies do not constitute medical advice or medical care. Treating health care providers are independent contractors and are neither employees nor agents Blue KC and are solely responsible for diagnosis, treatment and medical advice. No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, photocopying, or otherwise, without permission from Blue KC.