

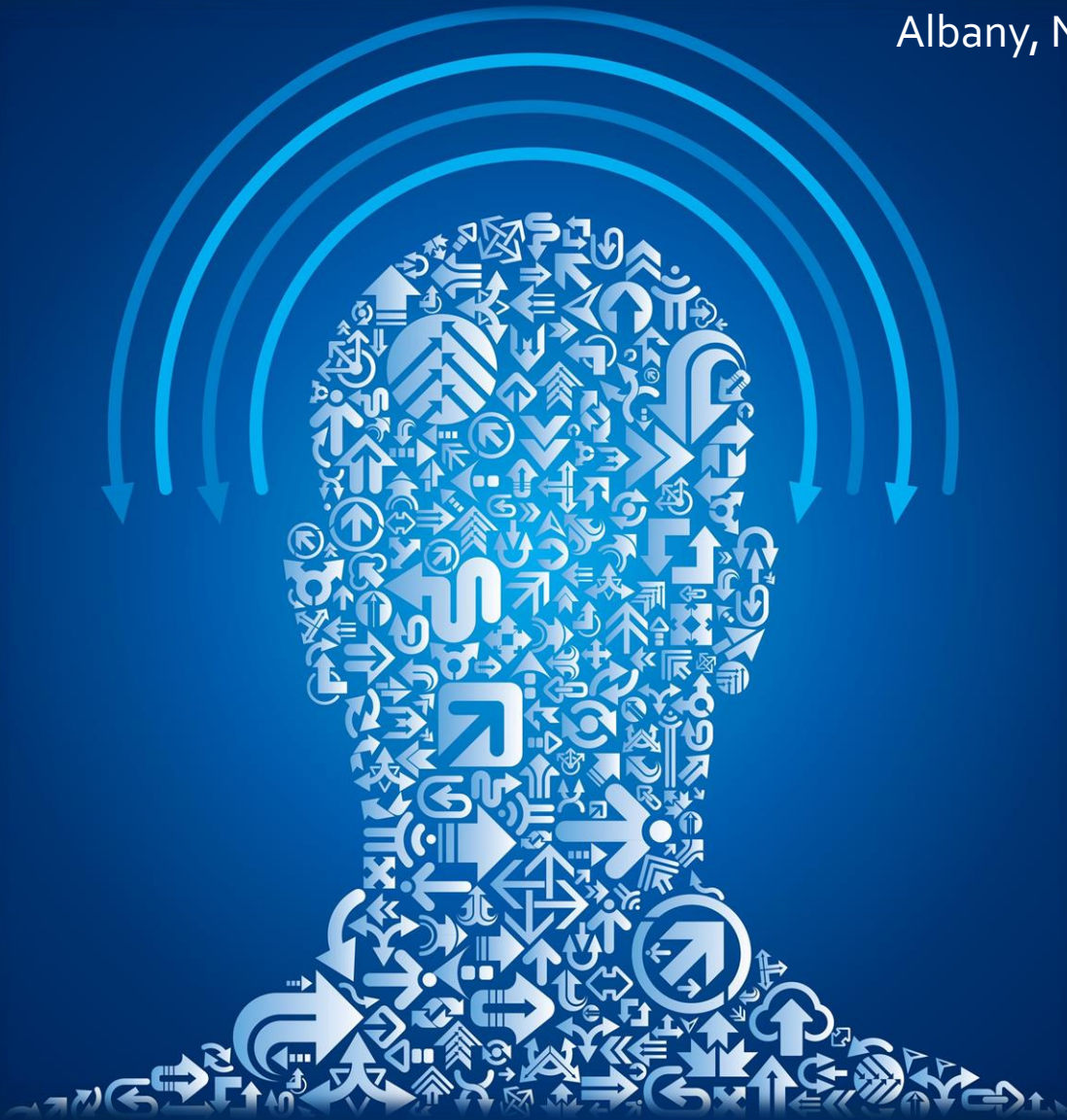


HCA CORPORATE COMPLIANCE SYMPOSIUM

October 6, 2016

Renaissance Albany Hotel

Albany, NY 12207



HCA CAN HELP YOU OVERCOME

**THE CORPORATE COMPLIANCE
CONUNDRUM**

THAT MAY PLAGUE YOUR AGENCY



HCA CORPORATE COMPLIANCE SYMPOSIUM

THE CORPORATE COMPLIANCE CONUNDRUM

October 6, 2016 - Renaissance Albany Hotel - Albany, NY 12207

HCA introduces its Annual Corporate Compliance Symposium, a must-attend event that includes presentations from national and state compliance experts that will enable attendees to sharpen their knowledge on organizational compliance risks and identify strategies and resources to enhance organization compliance programs and efforts.

As one of the principal organizational leaders tasked with ensuring the highest level of corporate compliance excellence, you are responsible for detecting and preventing risk areas and regulatory compliance weaknesses that may compromise your organization's ability to provide the highest level of quality patient care and ensure the integrity of your organization's mission. Few other organizational priorities require this substantial level of knowledge and action plan development to assure the highest levels of compliance.

HCA's Corporate Compliance program, on October 6, is a must-attend, highly anticipated annual event which brings together the top state and federal legal experts, state regulators and organizational compliance consultants to keep your team up to date on: new requirements, changes in laws and regulations, tools, resources and best-practices for fulfilling your compliance responsibilities.

Your compliance officers and senior management need routine training in all of these areas to avoid both financial risk and potential harm to your organization's reputation and patients. This program gives your team access to top state and federal government auditors and experts so that they have the understanding to ensure your compliance program is ironclad and functioning at the highest level.

AGENDA

THURSDAY, OCTOBER 6, 2016

8:00 – 8:30AM

Registration Opens with Light Breakfast

8:30 – 9:00AM

Welcome and HCA Compliance Update

HCA's Policy team will set the stage by outlining the must-know compliance issues for your organization, including the latest on Executive Order No. 38 regulations and reporting; labor law requirements; recent government mandates from the state Department of Health, state Office of the Medicaid Inspector General and federal Office of the Inspector General; and other areas. This is your chance to ask questions of the HCA team and identify areas of compliance ambiguity that we can address on your behalf.



9:00 – 10:00AM

Latest Developments in Compliance from the NYS Medicaid Inspector General

Dennis Rosen, NYS Medicaid Inspector General

For home care, and across the continuum of Medicaid services, the state Medicaid Inspector General's work plan, audit activities, protocols, data-mining, and enforcement efforts are perhaps the most influential driver of your compliance plan under Medicaid. Simply put: if it's a focus area of OMIG, then it must be a focus area of your risk-assessment work.

Medicaid Inspector General Dennis Rosen offers a look at his office's work plan, its focus areas, recent developments with OMIG's audit protocols, and the evolving expectations of his office's Medicaid integrity initiatives, especially as providers' roles change under new regulations, the advent of managed care and new models of payment and integrity responsibility.

10:00 – 10:15AM

BREAK

10:15AM – 11:15AM

DOH Regulatory & Compliance Update

Rebecca Fuller Gray, Director, Division of Home and Community Based Services

Mark Hennessey, Director, Center for Health Care Quality and Surveillance

The state Department of Health's Division of Home and Community Based Services and Surveillance units are responsible for an array of regulatory compliance requirements affecting your operation, with wide reach into areas of licensing or jurisdiction, clinical standards, mandatory reporting, and operational standards. This includes several new areas of regulatory development like recent changes in the physician order deadlines, a federally required Medicaid face-to-face requirement (similar to the one in effect for Medicare), and issues related to Article 36 jurisdiction in a changing health care environment. This session will ensure that you have the latest information on new DOH requirements, regulatory updates and expectations as well as on DOH desk-audits and surveillance focus areas.

11:15AM – 12:15PM

The Compliance Intersection for providers under new models of care and payment (including Value Based Payment and within DSRIP arrangements)

Tracy Miller, Of Counsel, Bond, Schoeneck & King, LLC

Evolving care models of service delivery and payment reforms prompt new compliance questions and challenges. While the state seeks to streamline the siloed approach to health care delivery in new models of payment and care delivery, home care organizations find their jurisdictional roles, responsibilities to patients, and payment arrangements in a new state of flux – with potential overlap with other providers and payors and new complexity. This session will provide you with compliance insights to consider in new models of payment and delivery that will ensure that your organization's compliance program works with that of your organizational partners and addresses any new potential risk areas and conflicts.

12:15 – 1:00PM **Lunch**

1:00-2:00PM

Legal Ethics in Electronic Health Records and Information Exchange

Melissa Zambri, Partner, Barclay Damon

As home care agencies implement new technologies for patient records and expand their connectivity to participating provider networks, they must stay up-to-date on the laws and ethical implications that relate to the use of such Electronic Health Records (EHRs). Health information legal expert Melissa Zambri will explore the current state of EHRs and highlight associated ethical pitfalls.

2:00 – 3:00PM

Federal Compliance & Fraud Prevention Initiatives

HHS Office of Inspector General

The HHS Office of the Inspector General (OIG) is the largest inspector general's office in the federal government, with extensive resources aimed at combatting fraud, waste and abuse in the Medicare and Medicaid programs. Learn from one of OIG's senior officials about the OIG work plan, its areas of focus, its emphasis on combatting fraud and abuse, its review for compliance with various aspects of the home health prospective payment system rule, (including required documentation, such as Medicare face-to-face), and much more.

3:00 - 3:15PM **Break**

3:15 – 4:15PM

Cyber Security Incident Response Preparedness: Developing and Testing an Incident Response Plan

Frank Fanshawe, Partner, Jackson Lewis, PC

Recent cautionary news stories detail major data breaches in several sectors of health care including home care. Breaches in cybersecurity can wreak havoc on even well-conceived and constructed information technology systems. The consequences can be devastating for any home care agency, including the loss of trust and feeling of security.

In this session you will hear from one of the state's preeminent legal experts on data security and privacy compliance about the benefits of developing and maintaining an incident response plan. This session will include a discussion of the key elements of these plans including which staff should be involved, the role of business associates, training, testing and, especially, implementation when a cybersecurity incident occurs or becomes an imminent threat to your home care agency.

4:15PM **Wrap Up and Adjourn**

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Hotel Information

Renaissance Albany Hotel
144 State Street
Albany, NY 12207

A small block of rooms has been secured at the Renaissance Hotel for the evening of October 5 at a discounted rate of **\$169**

To make your overnight accommodations, call **1-888-236-2427** before **SEPT. 19** and ask for the Home Care Association of NYS rate.

A big thank you to our **SPONSORS** and **EXHIBITORS** who helped make this program possible and who are an important voice on compliance issues and technical assistance.



REGISTRATION

HCA Cancellation Policy

HCA registration cancellations received by September 12 are refundable less a 25% administrative fee. No refunds will be issued after this date. Cancellations must be received in writing via e-mail to: info@hcanys.org. Substitutions are permitted.

REGISTRANT INFORMATION

Please register by September 19.

Name: _____

Title: _____

Agency: _____

Address: _____

City/State/Zip: _____

Phone: _____ Ext. _____ Fax: _____

Email: _____
(Required)

HCA REGISTRATION FEE

____ Member Fee \$239

____ Prospective Member \$389

SPECIAL NEEDS

In accordance with the Americans with Disabilities Act, or special dietary needs, please let us know how we can accommodate you:

PAYMENT

Please check method of payment:

Check* MasterCard VISA Am Exp

*Make checks payable to/mail to:
HCA Education and Research, 388 Broadway, 4th Floor,
Albany, NY 12207.
Checks must be received by September 23.

Card Number

Expiration Date Security Code

Mailing Address of Card Holder

City, State, Zip

Name on Card

Authorized Signature

FAX THIS FORM TO: 518-426-8788