Mandatory Compliance Program Requirement under the NYS Social Services Law OMIG's Compliance Program Assessment Form (Revision date: 01/22/2013)

Publication Notice:

The New York State Office of the Medicaid Inspector General (OMIG) recently published an update to its Compliance Program Assessment Form. The form's revision date is January 22, 2013.

The form is available on OMIG's website, www.omig.ny.gov. It can be accessed through the Compliance tab and is located under the Compliance Library. The only substantive change to the form from its prior version is in the instructions on the last page of the form related to how the Bureau of Compliance would use the form when it conducts compliance program reviews.

The form is being published as guidance to Medicaid providers on the types of questions that should be asked when conducting a self-assessment of providers' compliance programs. The form is provided in MS Word format so that Medicaid providers can use it as an assessment tool. The questions track the mandatory compliance program elements and requirements that are set out in New York State Social Services Law § 363-d and 18 New York Code of Rules and Regulations § 521.3(c). The new instructions on the last page of the form seek the provider's identification of how their compliance programs impact each of the seven areas referred to in 18 New York Code of Rules and Regulations § 521.3(a).

DO NOT SUBMIT A COMPLETED FORM TO OMIG UNLESS YOU ARE REQUESTED TO DO SO BY OMIG.

New York's Medicaid providers are recommended to sign up for e-mail notices from OMIG by subscribing to OMIG's list serv. Anyone can become a subscriber at no cost by signing up on OMIG's home page. An email subscription is a great way to stay informed of new compliance tools and information on compliance. As additional compliance resources are published by OMIG on its website, email subscribers will receive notices of their publication.

If you have any questions, please visit OMIG's website at www.omig.ny.gov and look at the Compliance landing page for the Bureau of Compliance's contact information.