
Medicaid & CHIP: November 2014 Monthly Applications, Eligibility Determinations and Enrollment Report

February 2, 2015

Background

This monthly report on state Medicaid and Children's Health Insurance Program (CHIP) data represents state Medicaid and CHIP agencies' eligibility activity for the calendar month of November 2014; open enrollment in the Marketplace began November 15, 2014. Medicaid and CHIP are longstanding programs that serve many populations in addition to those that are newly eligible for Medicaid under the new low-income adult group established by the Affordable Care Act. This report measures eligibility and enrollment activity for the entire Medicaid and CHIP programs in all states, reflecting activity for all populations receiving comprehensive Medicaid and CHIP benefits in all states, including states that have not yet chosen to adopt the new low-income adult group.¹ This data is submitted to CMS by states using a common set of indicators designed to provide information to support program management and policy-making related to application, eligibility, and enrollment processes.²

As with previous reports, this month's report focuses on those indicators that relate to Medicaid and CHIP application and enrollment process:³

- The number of applications submitted directly to Medicaid and CHIP agencies, including those received through State-Based Marketplaces (SBMs);

¹ As of November 2014, twenty-six states and the District of Columbia had implemented the expansion of Medicaid under the Affordable Care Act to cover adults under age 65 with incomes up to 133 percent of the Federal Poverty Level. Pennsylvania implemented Medicaid expansion on January 1, 2015 and Indiana will implement Medicaid expansion on February 1, 2015. There is no deadline for when a state must decide whether to expand Medicaid and states are continuing to consider their options. The number of people impacted by the Medicaid expansion varies; some of these states had expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. Many other states previously covered parents only at the minimum required income levels and often did not cover other adults without disabilities who are under age 65 at all.

² See appendix A for the standardized data definitions for the data included in this report. States continue to work to transition to these standardized definitions. State-specific notes on the differences between state-reported data and the data definitions are included in the state-by-state tables in this report.

³ The Affordable Care Act's "no wrong door" policy means that individuals can apply for health insurance coverage through the Marketplace or the Medicaid or CHIP agency (if a separate agency) in their state and receive an eligibility determination for the health insurance program for which they are eligible. Information on applications received by (and Medicaid and CHIP assessments and determinations made by) the Marketplaces is included in the *Health Insurance Marketplace 2015 Open Enrollment Period: December Enrollment Report* (December 30, 2015). Because of the integrated nature of eligibility determination in State Based Marketplace (SBM) states, some SBM data is reported in both the December 30 report and this report. However, the December 30 report includes data from November 15 through December 15, 2014, while this report includes data from November 1- November 30, 2014.

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- The number of eligibility determinations made by Medicaid and CHIP agencies on the applications described above, as well as applications to the Federally-Facilitated Marketplace (FFM) in states for which the FFM makes an eligibility assessment (and the state then completes the Medicaid or CHIP determination); and
- The total number of individuals enrolled in the Medicaid and CHIP programs who are receiving comprehensive benefits, including details on the total number of children enrolled in these programs.

This report includes data from the first two weeks of the second open enrollment period for the Health Insurance Marketplace (“Marketplace” hereafter), which included the Thanksgiving holiday. This short time period limits the impact of this open enrollment period on the data included in this report. As with each monthly report, given that states are reporting monthly data to CMS soon after the close of the month, the November 2014 data presented in this report should be considered preliminary.

We have published updated data for October 2014 applications, eligibility determinations and enrollment on [Medicaid.gov](http://www.Medicaid.gov), which includes a more complete data set than the previously reported preliminary October data.

Medicaid and CHIP November 2014 Enrollment Data Highlights

Total Individuals Enrolled in Medicaid and CHIP in November 2014 in All States Reporting November Data (includes all individuals enrolled in the program on the last day of the reporting period).⁴	68,973,900 ⁵
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- The 51 states (including the District of Columbia) that provided enrollment data for November 2014 reported nearly 69 million individuals were enrolled in Medicaid and CHIP.⁶ This enrollment count is point-in-time (on the last day of the month) and includes all enrollees in the Medicaid and CHIP programs who are receiving a comprehensive benefit package.

⁴ This enrollment data may differ from other published state and national enrollment figures because it only includes individuals with comprehensive benefits. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid section 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods. See Appendix A for more information on this methodology. See the notes in Table 1 for state-specific caveats regarding the reported data. It is important to note that the enrollment measure is a total count of enrollment in Medicaid and CHIP, not solely a count of those newly enrolled during the reporting period.

⁵ This number is not directly comparable to prior months’ numbers because several states changed their methodology in November to better match CMS’s data specifications.

⁶ See footnote 4.

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- 444,324 additional people were enrolled in November 2014 as compared to October 2014 in the 51 states that reported comparable November and October data.⁷
- Looking at the additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 49 states reporting both November 2014 enrollment data and data from July-September of 2013, over 10.1 million additional individuals are enrolled in Medicaid and CHIP as of November 2014, over a 17.5 percent increase over the average monthly enrollment for July through September of 2013.⁸ (Connecticut and Maine are not included in this count.)⁹
- Among states that had implemented the Medicaid expansion and were covering newly eligible adults in November 2014, Medicaid and CHIP enrollment rose by nearly 25.5 percent compared to the July-September 2013 baseline period, while states that have not, to date, expanded Medicaid reported an increase of approximately 7 percent over the same period.¹⁰
- Ten of the 26 states that had implemented the Medicaid expansion and were covering newly eligible adults in November 2014, and that reported relevant data for both November and the July-September 2013 baseline period, experienced an enrollment increase of 30 percent or more.¹¹

⁷ See the notes in Table 1 for state-specific caveats regarding the reported data.

⁸ The net change in enrollment is based on data from the 49 states reporting both November 2014 enrollment data and data from July-September 2013 (the baseline period before the initial Marketplace open enrollment period) that contains comparable enrollment groups. These 49 states report total enrollment in November of approximately 67.9 million individuals, and July-September 2013 average enrollment of approximately 57.8 million. For November 2014, we are reporting growth of 10,132,142 compared to July-September 2013, which is about 449,100 greater than the 9,683,006 figure for net growth in enrollment between July-September 2013 and October 2014 that was included in the *Medicaid and CHIP: October 2014 Applications, Eligibility Determinations, and Enrollment Report*. This difference does not match the 444,324 increase reported above for the October to November 2014 period because some states updated their data this month to better align with CMS's data specifications. These changes mean that the summary statistics in the October 2014 report are not perfectly comparable to the figures in this report. See the notes in table 1 for state-specific caveats regarding the reported data. Because the July-September 2013 data may contain individuals with retroactive Medicaid coverage, and the October and November 2014 data included in this report is preliminary, the difference reported here between November 2014 and July-September 2013 period is likely understated. The data is preliminary because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in November 2014 after the close of the month due to retroactive eligibility under Medicaid or similar reasons. In Medicaid, individuals are potentially eligible for retroactive coverage for incurred health care costs dating back to 90 days prior to the date of application.

⁹ Connecticut and Maine did not submit enrollment data for the July-September 2013 baseline period.

¹⁰ Percentage calculations are based only on states reporting in both November 2014 and the July through September 2013 baseline period. Pennsylvania and Indiana are not included in these percentages because the expansions in these states were not yet implemented as of November 2014. These percentages are not directly comparable to the figures reported in previous months because a few states made corrections to their data this month to bring their reporting in line with CMS's data definitions and because of differences in the number of states included in the calculation. See footnote 8 for additional information.

¹¹ Medicaid expansion states that reported data in both periods that showed a greater than 30 percent increase in enrollment are: Arkansas, Colorado, Kentucky, Minnesota, Nevada, Oregon, Rhode Island, Vermont, Washington, and West Virginia (8 of these 10 states also run State-based Marketplaces). Among expansion states, the percent change in the number of individuals enrolled varies based on the size of the coverage expansion that is occurring in

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- These enrollment counts are in addition to the enrollment increases from the nearly 950,000 individuals who gained coverage as a result of the Affordable Care Act before open enrollment began.¹² Seven states implemented an “early option” to expand Medicaid coverage to adults with incomes up to 133 percent of the FPL between April 1, 2010 and January 1, 2014, using new state plan authority provided by the Affordable Care Act or a demonstration under section 1115 of the Social Security Act building upon that authority.¹³

It is important to note that, as with previous reports, multiple factors contribute to the change in enrollment between November 2014 and the July-September 2013 baseline period, including but not limited to changes attributable to the Affordable Care Act. The enrollment numbers included in this report understate total Medicaid and CHIP enrollment because the data is preliminary. The preliminary data, which is submitted approximately a week after the close of the reporting period, generally does not include Medicaid and CHIP eligible individuals who applied in November and whose application will be fully processed after November 30th; these individuals will be enrolled effective back to at least the date of application or the first of the month and we note that those enrollments are not reflected in this preliminary data. Similarly, the preliminary data does not likely include individuals who had medical expenses in November 2014, applied for Medicaid in a later month, and qualify for retroactive Medicaid coverage in November 2014.¹⁴ Updated enrollment data for past months, which is updated one month after the close of the reporting period, is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on [Medicaid.gov](http://www.Medicaid.gov).¹⁵

2014. States that previously offered comprehensive coverage to many adults with incomes under 133 percent of the FPL will likely see a smaller percent increase than those who previously offered only coverage for very low-income parents. Additionally, in most states that provided Medicaid coverage to adults with incomes above 133 percent of the FPL prior to 2014, those individuals are no longer eligible for or enrolled in Medicaid and instead may be eligible to purchase qualified health plan coverage through the Marketplace, and may be eligible for advanced payments of premium tax credits and cost-sharing reductions; this change will reduce their overall Medicaid enrollment. Pennsylvania and Indiana are not included in the count of expansion states because their Medicaid expansions were not yet implemented as of November 2014.

¹² Enrollment data for California, Colorado, the District of Columbia, Minnesota, and New Jersey was reported to CMS by the state and is from the end of 2013. Data for Connecticut and Washington is from 2012 (Source: Sommers, B. D., Arntson, E., Kenney, G. M., et al., “Lesson from Early Medicaid Expansions under Health Reform: Interviews with Medicaid Officials”, *Medicare & Medicaid Research Review*, 2013: Volume 3, number 4 (http://www.cms.gov/mmrr/Downloads/MMRR2013_003_04_a02.pdf).

¹³ Connecticut, the District of Columbia, Minnesota utilized state plan authority, while California, Colorado, New Jersey, and Washington provided the coverage through Medicaid demonstration authority under section 1115 of the Social Security Act. For more information about this “early option,” please see [Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations, and Enrollment Report \(May 1, 2014\)](#).

¹⁴ See footnote 8 for additional information on retroactive eligibility.

¹⁵ The updated data available on Medicaid.gov is not directly comparable to the preliminary data included in this report because it contains retroactive enrollment and individuals who applied during the reporting period but were determined eligible after the close of the reporting period.

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Child Enrollment

Total Medicaid and CHIP child enrollment in the 45 states reporting in November 2014¹⁶	28,881,515
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As in prior months, we are also reporting separately on the total number of individuals enrolled in CHIP and children enrolled in Medicaid for those states that are reporting the relevant data for each month in 2014.¹⁷ This data appears in Table 2.

- In the 45 states that reported relevant data for the month of November, approximately 28.9 million individuals are enrolled in CHIP or are children enrolled in the Medicaid program.
- Based on the November 2014 data, children enrolled in the Medicaid program and individuals enrolled in CHIP make up approximately 54 percent of total Medicaid and CHIP program enrollment.

It is important to note that all states had already expanded coverage for children in Medicaid and/or CHIP prior to implementation of the Affordable Care Act.¹⁸

¹⁶ See the notes in Table 2 for state-specific caveats regarding the reported data. This figure includes children enrolled in the Medicaid program and the total enrollment for separate CHIP programs, which is comprised mostly of children. However, several states also cover pregnant women through CHIP. As a result, some adults may be included in this figure. This figure was calculated for any state that reported data on Medicaid child enrollment through the performance indicator process, subject to CMS quality review of the data. States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS. This definition varies from state to state. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

¹⁷ Children are included in the total number of individuals enrolled in Medicaid and CHIP in November 2014, as reported on page 2 and in Table 1. Total enrollment data for January 2014 through October 2014 is available on Medicaid.gov.

¹⁸ Four million more children were covered by Medicaid or CHIP in 2012, compared with 2008. Kenneth Finegold and Sophia Koontz, *ASPE Issue Brief: Children's Health Coverage on the 5th Anniversary of CHIPRA*, February 4, 2014, http://aspe.hhs.gov/health/reports/2014/CHIPRA_5thAnniversary/ib_CHIPRA5thanniversary.pdf

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Medicaid and CHIP November 2014 Application and Eligibility Data Highlights

	November 2014 Monthly in All States Reporting
<i>Applications</i>	
Total Applications for Financial Assistance Initially Received by State Medicaid and CHIP Agencies (note that more than one individual may be included on an application and some states have included renewals and account transfers from the FFM)	1,946,787 ¹⁹
Total Applications for Financial Assistance Initially Received by State Based Marketplaces (note that more than one individual may be included on an application)	1,072,515 ²⁰
<i>Eligibility Determinations</i>	
Total Individuals Determined Eligible for Medicaid and CHIP by State Agencies (includes those newly eligible under the Affordable Care Act and those eligible under prior law and, for some states, renewals)	2,004,739 ²¹

During the month of November 2014, over 3 million applications for coverage and financial assistance (including Medicaid and CHIP coverage, tax credits and subsidies) were submitted at the state level (including approximately 2 million received directly by state Medicaid and CHIP agencies and approximately 1 million received by SBMs).²² Table 3 includes a comparison of the total applications for financial assistance to state agencies (including applications for Medicaid, CHIP, and financial assistance in the Marketplace in SBM states) in November 2014 as compared to the prior month (October 2014). Note that these application numbers do not include applications received at the FFM and subsequently transferred to state Medicaid or CHIP agencies (see State-by-State Table notes for exceptions), including

¹⁹ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states included some renewals, applications to SBMs, or transfers from the FFM in the data.

²⁰ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported renewals in the data and included applications received by their SBMs in their Medicaid and CHIP agency application data instead of reporting these applications separately.

²¹ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported some individuals determined eligible at renewal in the data. Tennessee only provided determination data on their CHIP program.

²² The following states have included renewals in their November 2014 application data: Alaska, Nevada, New York, Ohio, Pennsylvania, Rhode Island, and Virginia. South Dakota included transfers from the FFM in its November 2014 application data.

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applications received by the FFM during the Marketplace open enrollment period that began on November 15th.²³

States reported making approximately 2 million eligibility determinations for Medicaid and CHIP in November 2014 for individuals applying for coverage. As described above and in prior reports, this figure includes all of state Medicaid and CHIP agencies' determinations for individuals eligible under prior law, as well as through coverage expansions under the Affordable Care Act; note that, due to limitations on the data some states are able to report, the number of determinations includes renewals in those states.²⁴ Additionally, the determination number does not represent unique individuals, as an individual may have more than one eligibility determination. It is important to keep in mind that differences in volume of administrative transfers and other types of one-time enrollment activities can affect month-to-month comparisons of eligibility data.²⁵

See the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on Medicaid.gov for preliminary and updated data on applications and determinations for October 2013 through November 2014.

²³ See footnote 20.

²⁴ The states that have included renewals in their November 2014 determination data are: District of Columbia, Iowa, Michigan, Nevada, Ohio, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont and Virginia. Some of these renewals are conducted without the individual submitting a new application, using information already in the state's system that the individual is asked to verify.

²⁵ A number of states are employing a new tool for facilitating Medicaid enrollment to conduct an "administrative transfer" to Medicaid using income information from the Supplemental Nutritional Assistance Program (SNAP) or the CHIP program (for the parents of CHIP enrollees). The following states have implemented administrative transfers since this authority was made available: Arkansas, California, Illinois, New Jersey, Oregon, and West Virginia. California and Oregon conducted transfers in November 2014. As of the end of November, 707,346 individuals have been determined eligible for Medicaid or CHIP as a result of this transitional strategy in the six states that have implemented it. Michigan recently received approval to conduct administrative transfers but it has not yet implemented this targeted enrollment strategy.

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Data Limitations

CMS established a common set of definitions for consistency in reporting the Medicaid and CHIP performance indicators; these definitions appear in Appendix A. States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in notes in the State-by-State tables.

State-by-State Tables

Below are 3 tables with state-specific data. The first table contains data on the total number of people enrolled in state Medicaid and CHIP programs in November 2014. The second table contains data on children enrolled in Medicaid and CHIP. The third table contains data on Medicaid and CHIP applications and eligibility determinations for the month of November 2014. The description of each data element included in the table is available in Appendix A. Unless otherwise noted, the reporting period for all elements is November 1 - 30, 2014.

Future Reports

In future months, we will continue to expand the number of performance indicators that will be included in this report.

Table 1: Medicaid and CHIP: October and November 2014 Preliminary Monthly Enrollment

		Enrollment					
States Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (II)	% Change October to November 2014 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to November 2014 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to November 2014 (Columns (IV) and (II)) (VI)
Arizona	FFM	1,494,777	1,500,272	0.37%	1,201,770	298,502	24.84%
Arkansas	Partnership	815,675	825,246	1.17%	556,851	268,395	48.20%
California	SBM	11,500,000	11,790,244	2.52%	9,157,000	2,633,244	28.76%
Colorado	SBM	1,143,585	1,147,566	0.35%	783,420	364,146	46.48%
Connecticut	SBM	767,157	763,836	-0.43%	-	-	-
Delaware	Partnership	230,680	232,532	0.80%	223,324	9,208	4.12%
District of Columbia	SBM	255,707	255,585	-0.05%	235,786	19,799	8.40%
Hawaii	SBM	291,505	298,015	2.23%	288,357	9,658	3.35%
Illinois	Partnership	3,062,543	3,064,265	0.06%	2,626,943	437,322	16.65%
Indiana ^A	FFM	1,198,070	1,199,351	0.11%	1,120,674	78,677	7.02%
Iowa	Partnership	569,181	570,048	0.15%	493,515	76,533	15.51%
Kentucky	SBM	1,040,548	1,044,953	0.42%	606,805	438,148	72.21%
Maryland	SBM	1,077,179	1,085,723	0.79%	856,297	229,426	26.79%
Massachusetts	SBM	1,493,702	1,536,421	2.86%	1,296,359	240,062	18.52%
Michigan	Partnership	2,151,130	2,201,137	2.32%	1,912,009	289,128	15.12%
Minnesota	SBM	1,164,750	1,174,133	0.81%	873,040	301,093	34.49%
Nevada	SBM **	558,934	550,209	-1.56%	332,560	217,649	65.45%
New Hampshire	Partnership	158,347	160,867	1.59%	127,082	33,785	26.59%
New Jersey	FFM	1,645,149	1,658,117	0.79%	1,283,851	374,266	29.15%
New Mexico	SBM **	748,832	743,058	-0.77%	572,111	170,947	29.88%
New York	SBM	6,212,308	6,196,263	-0.26%	5,678,417	517,846	9.12%
North Dakota	FFM	82,014	82,253	0.29%	69,980	12,273	17.54%
Ohio	Plan Management	2,838,379	2,867,859	1.04%	2,341,481	526,378	22.48%
Oregon	SBM **	1,028,198	986,109	-4.09%	626,356	359,753	57.44%
Pennsylvania*	FFM	2,420,980	2,388,054	-1.36%	2,386,046	2,008	0.08%
Rhode Island	SBM	260,815	261,559	0.29%	190,833	70,726	37.06%
Vermont	SBM	184,867	214,654	16.11%	127,162	87,492	68.80%
Washington	SBM	1,590,657	1,600,061	0.59%	1,117,576	482,485	43.17%
West Virginia	Partnership	529,725	523,254	-1.22%	354,544	168,710	47.59%
Subtotal for All States Expanding Medicaid		46,515,394	46,921,644	0.87%	37,440,149	8,717,659	23.28%
Effect and Providing Coverage in Reporting Month^{A*}		42,896,344	43,334,239	1.02%	33,933,429	8,636,974	25.45%
Subtotal for States Expanding Medicaid that Reported in October and November 2014		46,515,394	46,921,644	406,250			
Subtotal for States Expanding Medicaid that Reported in November 2014 and July-Sept. 2013			46,157,808		37,440,149	8,717,659	

Table 1: Medicaid and CHIP: October and November 2014 Preliminary Monthly Enrollment

^=Indiana's effective date for implementing the expansion is February 1, 2015. IN is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of November 2014.

*=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of November 2014.

**= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both November 2014 and October 2014 data.

Columns V and VI are calculated for only those states that reported data from both November 2014 and the July-Sept. 2013 period.

The subtotals for states reporting data from both November 2014 and the July-Sept. 2013 period exclude CT.

Arkansas	(I), (II)	Includes Private Option enrollees.
California	(I), (II)	Includes individuals transferred from the Low Income Health Program
California		section 1115 demonstration.
California	(IV)	Includes approximately 650,000 individuals transferred from the Low Income
California		Health Program section 1115 demonstration.
California		Includes estimated retroactive enrollment.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Iowa	(I), (II)	Data are preliminary.
Massachusetts	(I), (II)	Does not include individuals receiving temporary transitional coverage.
Nevada	(I), (II)	Data are preliminary.
Nevada	(I), (IV)	Includes partial benefit dual eligible individuals.
New Jersey	(I), (II), (IV)	Includes individuals eligible at any point in the month.
New York	(I), (II)	Includes estimated retroactive enrollment.
North Dakota	(IV)	Data is from July 2013 only.

Table 1: Medicaid and CHIP: October and November 2014 Preliminary Monthly Enrollment

		Enrollment					
States Not Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (II)	% Change October to November 2014 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to November 2014 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to November 2014 (Columns (IV) and (II)) (VI)
Alabama	FFM	861,776	862,690	0.11%	799,176	63,514	7.95%
Alaska	FFM	125,735	125,270	-0.37%	122,334	2,936	2.40%
Florida	FFM	3,375,405	3,355,628	-0.59%	3,104,996	250,632	8.07%
Georgia	FFM	1,733,367	1,732,424	-0.05%	1,535,090	197,334	12.85%
Idaho	SBM	285,660	285,438	-0.08%	251,926	33,512	13.30%
Kansas	Plan Management	400,646	400,586	-0.01%	378,160	22,426	5.93%
Louisiana	FFM	1,051,248	1,050,038	-0.12%	1,019,787	30,251	2.97%
Maine	Plan Management	291,930	289,051	-0.99%	-	-	-
Mississippi	FFM/SBM-SHOP	698,505	701,685	0.46%	637,229	64,456	10.12%
Missouri	FFM	843,692	852,435	1.04%	846,084	6,351	0.75%
Montana	Plan Management	159,365	161,575	1.39%	148,974	12,601	8.46%
Nebraska	Plan Management	237,921	234,857	-1.29%	244,600	-9,743	-3.98%
North Carolina	FFM	1,737,525	1,791,054	3.08%	1,595,952	195,102	12.22%
Oklahoma	FFM	811,979	808,973	-0.37%	790,051	18,922	2.40%
South Carolina	FFM	888,326	880,610	-0.87%	790,229	90,381	11.44%
South Dakota	Plan Management	116,100	116,333	0.20%	115,501	832	0.72%
Tennessee	FFM	1,390,324	1,402,357	0.87%	1,244,516	157,841	12.68%
Texas	FFM	4,679,930	4,669,995	-0.21%	4,441,605	228,390	5.14%
Utah	FFM/SBM-SHOP	276,963	291,763	5.34%	294,029	-2,266	-0.77%
Virginia	Plan Management	941,639	937,818	-0.41%	935,434	2,384	0.25%
Wisconsin	FFM	1,034,850	1,031,888	-0.29%	985,531	46,357	4.70%
Wyoming	FFM	71,296	69,788	-2.12%	67,518	2,270	3.36%
Subtotal for All States Not Expanding Medicaid		22,014,182	22,052,256	0.17%	20,348,722	1,414,483	6.95%
Subtotal for States Not Expanding Medicaid that Reported in October and November 2014		22,014,182	22,052,256	Difference October to November 2014 38,074			
Subtotal for States Not Expanding Medicaid that Reported in November 2014 and July-Sept. 2013			21,763,205		20,348,722	Difference July-Sept 2013 to November 2014 1,414,483	

Table 1: Medicaid and CHIP: October and November 2014 Preliminary Monthly Enrollment

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Column III is calculated for only those states that reported both November 2014 and October 2014 data.

Columns V and VI are calculated for only those states that reported data from both November 2014 and the July-Sept. 2013 period.

The subtotals for states reporting data from both November 2014 and the July-Sept. 2013 period exclude ME.

Alabama	(IV)	Data is from September 2013 only.
Alaska	(IV)	Corrected.
Florida	(I), (II)	Does not include SSI recipients enrolled in Medicaid.
Texas	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Wisconsin	(IV)	Does not include retroactive enrollment.

Table 1: Medicaid and CHIP: October and November 2014 Preliminary Monthly Enrollment

Total Enrollment						
All States	Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (II)	% Change October to November 2014 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to November 2014 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to November 2014 (Columns (IV) and (II)) (VI)
Total Across All States	68,529,576	68,973,900	0.65%	57,788,871	10,132,142	17.53%
Total for States that Reported in October and November 2014	68,529,576	68,973,900	Difference October to November 2014 444,324			
Total for States that Reported in November 2014 and July-Sept. 2013		67,921,013		57,788,871	Difference July-Sept 2013 to November 2014 10,132,142	

Column III is calculated for only those states that reported both November 2014 and October 2014 data.

Columns V and VI are calculated for only those states that reported data from both November 2014 and the July-Sept. 2013 period.

Totals for states reporting data from both November 2014 and the July-Sept. 2013 period exclude CT and ME.

Table 2: Medicaid and CHIP: November 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

State	Enrollment							
	Medicaid and CHIP Child Enrollment						Total Medicaid and CHIP Enrollment	Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VI) and (VII))
	June, 2014 (I)	July, 2014 (II)	August, 2014 (III)	September, 2014 (IV)	October, 2014 (V)	November, 2014 (Preliminary) (VI)	November, 2014 (Preliminary) (VII)	November, 2014 (Preliminary) (VIII)
Alabama	642,844	643,854	647,625	642,646	642,688	634,269	862,690	73.52%
Alaska	74,345	73,780	74,251	73,706	74,524	72,646	125,270	57.99%
Arizona	-	-	-	-	-	-	1,500,272	-
Arkansas	-	-	-	431,339	431,490	435,048	825,246	52.72%
California	-	-	-	-	-	-	11,790,244	-
Colorado	553,934	559,112	567,484	568,972	568,093	567,831	1,147,566	49.48%
Connecticut	309,332	312,589	317,887	319,564	320,127	316,325	763,836	41.41%
Delaware	101,729	101,969	101,696	101,688	102,304	103,176	232,532	44.37%
District of Columbia	-	-	-	-	-	-	255,585	-
Florida	2,253,690	2,263,868	2,267,848	2,281,978	2,279,731	2,269,590	3,355,628	67.64%
Georgia	1,251,719	1,230,907	1,251,603	1,250,161	1,244,512	1,217,073	1,732,424	70.25%
Hawaii	142,878	141,613	133,176	128,654	131,342	132,873	298,015	44.59%
Idaho	189,432	194,637	193,877	198,147	198,704	198,171	285,438	69.43%
Illinois	1,530,373	1,519,492	1,535,988	1,525,138	1,518,476	1,494,679	3,064,265	48.78%
Indiana	711,794	714,816	714,082	717,009	719,398	707,362	1,199,351	58.98%
Iowa	290,741	291,099	285,489	287,449	287,255	287,046	570,048	50.35%
Kansas	290,028	288,341	287,792	285,530	285,069	285,312	400,586	71.22%
Kentucky	523,537	506,659	447,179	455,689	460,921	457,422	1,044,953	43.77%
Louisiana	739,388	742,144	748,219	750,483	753,063	754,564	1,050,038	71.86%
Maine	124,119	123,011	122,648	122,451	122,143	120,993	289,051	41.86%
Maryland	601,851	593,652	598,935	603,330	570,909	565,153	1,085,723	52.05%
Massachusetts	587,932	588,398	591,415	593,800	597,093	608,120	1,536,421	39.58%
Michigan	1,066,526	1,064,615	1,017,455	1,004,973	984,534	984,481	2,201,137	44.73%
Minnesota	465,467	468,308	483,250	487,032	490,143	487,107	1,174,133	41.49%
Mississippi	467,716	472,137	475,354	479,218	482,092	480,455	701,685	68.47%
Missouri	504,057	508,010	511,724	520,779	533,716	540,063	852,435	63.36%
Montana	106,163	102,252	103,610	102,545	102,869	100,948	161,575	62.48%
Nebraska	157,454	157,832	160,165	161,008	160,854	156,249	234,857	66.53%
Nevada	269,161	275,337	281,614	283,081	285,364	276,856	550,209	50.32%
New Hampshire	92,427	93,038	91,866	91,944	91,942	91,731	160,867	57.02%
New Jersey	781,640	789,130	798,719	803,170	812,669	817,006	1,658,117	49.27%
New Mexico	-	-	-	-	-	-	743,058	-
New York	2,370,481	2,380,653	2,387,386	2,390,927	2,386,591	2,373,786	6,196,263	38.31%
North Carolina	1,118,773	1,189,159	1,179,363	1,209,053	1,199,317	1,235,442	1,791,054	68.98%
North Dakota	-	40,073	40,134	40,050	40,253	40,047	82,253	48.69%
Ohio	1,208,772	1,222,720	1,230,096	1,239,342	1,256,684	1,264,617	2,867,859	44.10%
Oklahoma	506,175	511,283	515,794	517,141	519,968	519,185	808,973	64.18%
Oregon	431,745	435,087	438,143	441,632	445,910	414,868	986,109	42.07%
Pennsylvania	1,266,100	1,274,385	1,275,437	1,287,139	1,289,774	1,275,619	2,388,054	53.42%
Rhode Island	104,449	105,785	106,484	105,240	105,304	108,178	261,559	41.36%
South Carolina	614,297	623,160	630,373	634,877	636,904	626,941	880,610	71.19%
South Dakota	78,884	78,989	78,821	78,708	78,903	79,054	116,333	67.95%
Tennessee	-	-	-	-	-	-	1,402,357	-
Texas	3,324,633	3,358,478	3,384,078	3,447,054	3,475,401	3,445,988	4,669,995	73.79%
Utah	204,351	210,319	210,702	204,271	210,620	204,507	291,763	70.09%
Vermont	61,250	71,455	68,863	67,159	-	-	214,654	-
Virginia	644,756	639,407	637,902	634,163	640,670	637,163	937,818	67.94%
Washington	746,462	745,929	748,198	756,092	756,488	751,642	1,600,061	46.98%
West Virginia	209,888	209,881	212,589	209,920	208,552	207,646	523,254	39.68%
Wisconsin	-	-	-	491,362	491,325	488,148	1,031,888	47.31%
Wyoming	44,455	44,850	44,528	46,812	46,992	46,135	69,788	66.11%
Total For All States	27,765,748	27,962,213	27,999,842	29,072,426	29,041,681	28,881,515	68,973,900	54.42%
Number of States Reporting	43	44	44	46	45	45	51	45

Table 2: Medicaid and CHIP: November 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

For general notes on enrollment data, see Table 1: Medicaid and CHIP: October and November 2014 Preliminary Monthly Enrollment

(-)=State has not reported data or data submitted was incomplete.

Column VIII is calculated for only those states that reported both November 2014 child enrollment data and November 2014 Total Medicaid and CHIP enrollment data .

Iowa	(I)-(VI)	Data are preliminary.
Kentucky	(I)-(V)	Corrected.
Maryland	(I)-(II)	Includes limited benefit dual eligible individuals (unlike columns (III) - (VII)). All data is preliminary.
New York	(I)-(VII)	Includes estimated retroactive enrollment.
North Carolina	(I)	Includes limited benefit populations (unlike columns (II)-(VII)).

Table 3: Medicaid and CHIP: November 2014 Monthly Applications and Eligibility Determinations

States Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, November 2014 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, November 2014 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, November 2014 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, October 2014 (Preliminary) (IV)	% Change October 2014 to November 2014 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, November 2014 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, November 2014 (Preliminary) (VII)	Total New Determinations, November 2014 (Preliminary) (VIII)
Arizona	FFM	112,419	N/A	112,419	120,403	-6.63%	54,055	-	54,055
Arkansas	Partnership	20,035	N/A	20,035	31,252	-35.89%	14,982	-	14,982
California	SBM	117,102	218,146	335,248	456,000	-26.48%	370,922	-	370,922
Colorado	SBM	19,149	11,302	30,451	23,257	30.93%	22,509	837	23,346
Connecticut	SBM	12,785	20,380	33,165	23,606	40.49%	19,071	†	19,071
Delaware	Partnership	3,185	N/A	3,185	2,940	8.33%	1,484	63	1,547
District of Columbia	SBM	4,058	1,421	5,479	6,402	-14.42%	4,452	-	4,452
Hawaii	SBM	7,159	-	7,159	7,320	-2.20%	7,432	432	7,864
Illinois	Partnership	75,322	N/A	75,322	74,633	0.92%	50,299	14,916	65,215
Indiana [^]	FFM	63,653	N/A	63,653	78,579	-18.99%	24,677	2,075	26,752
Iowa	Partnership	20,299	N/A	20,299	23,000	-11.74%	56,685	-	56,685
Kentucky	SBM	8,139	21,233	29,372	29,181	0.65%	121,891	4,442	126,333
Maryland	SBM	31,484	19,316	50,800	52,898	-3.97%	25,267	2,361	27,628
Massachusetts	SBM	32,998	50,843	83,841	28,134	198.01%	-	-	-
Michigan	Partnership	97,470	N/A	97,470	90,019	8.28%	87,300	6,666	93,966
Minnesota	SBM	19,080	-	19,080	16,104	18.48%	16,217	-	16,217
Nevada	SBM **	13,649	-	13,649	12,216	11.73%	7,796	23	7,819
New Hampshire	Partnership	4,063	N/A	4,063	4,315	-5.84%	4,602	182	4,784
New Jersey	FFM	30,404	N/A	30,404	33,297	-8.69%	5,798	2,404	8,202
New Mexico	SBM **	-	N/A	-	33,390	-	-	-	-
New York	SBM	-	709,565	709,565	211,883	234.89%	140,677	10,150	150,827
North Dakota	FFM	-	N/A	-	-	-	6,613	221	6,834
Ohio	Plan Management	133,095	N/A	133,095	165,735	-19.69%	89,973	-	89,973
Oregon	SBM **	510	20,309	20,819	19,216	8.34%	33,241	-	33,241
Pennsylvania*	FFM	150,360	N/A	150,360	185,258	-18.84%	43,607	5,588	49,195
Rhode Island	SBM	-	-	-	9,650	-	15,592	2,023	17,615
Vermont	SBM	3,958	-	3,958	7,857	-49.62%	1,278	-	1,278
Washington	SBM	135,302	-	135,302	109,005	24.12%	49,825	2,340	52,165
West Virginia	Partnership	21,091	N/A	21,091	25,015	-15.69%	12,668	702	13,370
Subtotal for All States Expanding Medicaid		1,136,769	1,072,515	2,209,284	1,880,565	20.23%	1,288,913	55,425	1,344,338
Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month^{^*}		922,756	1,072,515	1,995,271	1,616,728	26.79%	1,220,629	47,762	1,268,391
Subtotal for States Expanding Medicaid that Reported in October and Nov 2014				2,209,284	1,837,525	Difference October to November 2014 371,759			

[^]=Indiana's effective date for implementing the expansion is February 1, 2015. IN is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of November 2014.

^{*}=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of November 2014.

^{**}= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported October and November 2014 Applications data (subtotals exclude ND, NM, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

† Reported value is less than 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: November 2014 Monthly Applications and Eligibility Determinations

Arkansas	(VI)	Includes CHIP.
California	(I)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(II)	Includes applications to SBM that did not request financial assistance.
California	(IV)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(VI)	Includes 22,235 individuals eligible via targeted enrollment strategy.
California		Reflects primarily newly-determined and likely eligible Medicaid applicants
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
Colorado	(I)	State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs.
Connecticut	(I), (III), (IV)	Data may include some duplication of applications between Medicaid and CHIP.
Connecticut	(VI)	Count is of households, not individuals.
District of Columbia	(III)	The count of applications received by the Medicaid agency and the state based marketplace may overlap; total may contain some duplicates.
District of Columbia	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
Hawaii	(I)	Number includes all applications for insurance affordability programs.
Iowa	(I), (III), (IV)	Data are preliminary.
Iowa	(VI), (VII), (VIII)	Data are preliminary.
Iowa	(VI)	Includes renewals.
Iowa	(VII)	Includes renewals.
Maryland	(II)	Includes State Medicaid Agency data and SBM data from 11/1 - 11/30.
Maryland	(II), (III), (IV)	Includes all applications not only those requesting financial assistance.
Maryland	(VI)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 11/1 - 11/30.
Maryland	(VII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 11/1 - 11/30.
Maryland	(VIII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 11/1 - 11/30.
Michigan	(VI)	Includes renewals.
Michigan	(VII)	Includes renewals.
Minnesota	(I), (III), (IV)	Count is of persons applying, not applications. Does not include applications to the new eligibility and enrollment system.
Minnesota	(VI)	Includes CHIP.
Nevada	(I), (III), (IV)	Includes renewals.
Nevada	(VI)	Count is of households, not individuals. Includes renewals.
New Hampshire	(VI)	Data is derived by considering prior coverage.
New Jersey	(I), (III), (IV)	Includes applications received at county welfare agencies.
New Jersey	(VI), (VII), (VIII)	Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.
New Mexico	(IV)	Includes renewals.
New York	(III)	Includes renewals (unlike column (IV)).

Table 3: Medicaid and CHIP: November 2014 Monthly Applications and Eligibility Determinations

New York	(VI)	Data are preliminary.
New York	(VII)	Data are preliminary.
New York	(VIII)	Data are preliminary.
Ohio	(I), (III), (IV)	Includes renewals. May include some applications only for other benefits, not for Medicaid and CHIP.
Ohio	(VI)	Includes CHIP.
Ohio	(VI), (VIII)	Includes renewals
Oregon	(IV)	Updated to remove renewals.
Oregon	(VI)	Count is of households, not individuals; includes CHIP.
Oregon		Includes 91 individuals determined eligible via Targeted Enrollment Strategy.
Oregon		Includes MAGI populations only.
Oregon	(VI), (VIII)	Includes MAGI populations only.
Pennsylvania	(I), (III), (IV)	Includes renewals.
Pennsylvania	(VI), (VIII)	Includes renewals.
Rhode Island	(I)	Includes applications submitted to SBM.
Rhode Island	(I), (III)	Applications include delayed renewals being transitioned into the new eligibility system.
Rhode Island	(I), (III), (IV)	Does not include applications in legacy system for certain non-MAGI populations.
Rhode Island	(VI)	Includes only determinations through new MAGI system. Includes renewals.
Rhode Island	(VII)	Includes only determinations through new MAGI system. Includes renewals.
Vermont	(VI)	Includes renewals.

Table 3: Medicaid and CHIP: November 2014 Monthly Applications and Eligibility Determinations

States Not Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, November 2014 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, November 2014 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, November 2014 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, October 2014 (Preliminary) (IV)	% Change October 2014 to November 2014 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, November 2014 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, November 2014 (Preliminary) (VII)	Total New Determinations, November 2014 (Preliminary) (VIII)
Alabama	FFM	14,793	N/A	14,793	19,076	-22.45%	20,218	638	20,856
Alaska	FFM	3,579	N/A	3,579	5,004	-28.48%	7,543	-	7,543
Florida	FFM	273,497	N/A	273,497	349,156	-21.67%	98,008	16,878	114,886
Georgia	FFM	154,472	N/A	154,472	91,070	69.62%	38,083	698	38,781
Idaho	SBM	6,695	N/A	6,695	4,468	49.84%	6,412	579	6,991
Kansas	Plan Management	7,129	N/A	7,129	8,555	-16.67%	6,077	630	6,707
Louisiana	FFM	22,104	N/A	22,104	27,341	-19.15%	18,676	877	19,553
Maine	Plan Management	959	N/A	959	1,486	-35.46%	10,916	217	11,133
Mississippi	FFM/SBM-SHOP	16,996	N/A	16,996	20,202	-15.87%	9,751	313	10,064
Missouri	FFM	27,104	N/A	27,104	30,591	-11.40%	15,965	-	15,965
Montana	Plan Management	2,952	N/A	2,952	3,500	-15.66%	2,401	310	2,711
Nebraska	Plan Management	7,118	N/A	7,118	7,281	-2.24%	6,962	718	7,680
North Carolina	FFM	63,485	N/A	63,485	76,574	-17.09%	106,937	7,465	114,402
Oklahoma	FFM	34,682	N/A	34,682	29,570	17.29%	31,300	3,800	35,100
South Carolina	FFM	17,682	N/A	17,682	25,183	-29.79%	-	-	-
South Dakota	Plan Management	2,350	N/A	2,350	2,576	-8.77%	1,420	-	1,420
Tennessee	FFM	1,479	N/A	1,479	1,824	-18.91%	-	912	912
Texas	FFM	85,177	N/A	85,177	106,891	-20.31%	150,249	-	150,249
Utah	FFM/SBM-SHOP	21,247	N/A	21,247	21,262	-0.07%	47,939	-	47,939
Virginia	Plan Management	21,217	N/A	21,217	26,997	-21.41%	24,404	2,202	26,606
Wisconsin	FFM	24,808	N/A	24,808	29,599	-16.19%	18,007	1,815	19,822
Wyoming	FFM	493	N/A	493	761	-35.22%	903	178	1,081
Subtotal for All States Not Expanding Medicaid		810,018	N/A	810,018	888,967	-8.86%	622,171	38,230	660,401
Subtotal for States Not Expanding Medicaid that Reported in October and November 2014				810,018	888,967	Difference October to November 2014 -78,949			
Total Across All States		1,946,787	1,072,515	3,019,302	2,769,532	10.76%	1,911,084	93,655	2,004,739
Total for States that Reported in October and November 2014				3,019,302	2,726,492	Difference October to November 2014 292,810			

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported October and November 2014 Applications data (totals exclude ND, NM, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

† Reported value is less than 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: November 2014 Monthly Applications and Eligibility Determinations

Alaska	(I), (III), (IV)	Includes renewals converting to MAGI methodology.
Alaska	(VI), (VIII)	Non-MAGI counts are of households, not individuals. MAGI determinations include CHIP.
Florida	(IV)	Includes account transfers from the FFM (unlike column (III)).
Kansas	(I), (III), (IV)	Includes MAGI populations only.
Kansas	(VI)	Includes MAGI populations only.
Oklahoma	(IV)	Corrected.
South Carolina	(III)	Does not include all applications received.
South Dakota	(I), (III), (IV)	Includes account transfers from the FFM.
South Dakota	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
Tennessee	(I), (III), (IV)	Data are from CHIP agency only. Medicaid reporting capability in development.
Tennessee	(V)	Excluded because data is only from CHIP agency.
Texas	(VI)	Includes renewals and CHIP.
Utah	(I), (III), (IV)	Includes applications for non-health coverage programs.
Virginia	(I), (III), (IV)	Includes renewals.
Virginia	(VI)	Includes renewals.
Virginia	(VII)	Includes renewals.
Wisconsin	(VI), (VII)	Count is of unique individuals receiving determinations, not unique determinations.

APPENDIX A: Description of Data Elements in Tables

Table 1: Medicaid and CHIP: October and November Preliminary Monthly Enrollment

Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (I)

Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (II)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The November 2014 data was submitted in November and is considered preliminary.²⁶ The October 2014 data in this table was submitted in November and is also preliminary. October data that was updated in December (which may include more individuals with retroactive eligibility) is posted separately under the Eligibility Data tab on Medicaid.gov. Other reporting on Medicaid and CHIP enrollment by states and researchers often may include some beneficiaries excluded in this data (because comprehensive coverage is not provided), or otherwise use a different methodology.

Percent Change October to November 2014 (III)

The percentage change in **Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (II)** as compared to **Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)** is calculated for states that provided data for both periods. In cases where there is a negative percentage change, this may be due to a number of factors. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)

The average number of individuals enrolled in Medicaid and CHIP for each month during the July-September 2013 period using an average of enrollment numbers submitted by the states for each month in this period. Not all states submitted three months of data; the average is calculated using any months of baseline data that were provided. The unit of measurement is individuals enrolled as of the last day of the reporting period (month). This baseline data includes more retroactive enrollments than the November 2014 data, which makes change between the baseline data and the November preliminary data look smaller than it would be if

²⁶ In Medicaid, individuals are potentially eligible for retroactive eligibility to cover incurred health care costs for up to 90 days prior to the date of application. Under the Performance Indicator process, states initially submit their monthly enrollment data just a week after the month closes, and then update their data one month later. Therefore, the Performance Indicator enrollment counts will always be slightly understated, as they will not include all individuals granted retroactive eligibility.

retroactive enrollments were excluded from the data for the July-September 2013 period.²⁷ Such exclusions were not possible.

Net Change, July-Sept. 2013 to November 2014 (V)

The net change in **Total Medicaid and CHIP Enrollment, November 2014 (preliminary) (II)** as compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)** is calculated for states that provided data for both periods and represents the difference in enrollment between these two periods. A negative net change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Percentage Change, July-Sept. 2013 to November 2014 (VI)

The percentage change in **Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (II)**, compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)**, is calculated for states that provided data for both periods. A negative percentage change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Note: Updated enrollment data for January through October of 2014 is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on Medicaid.gov. This data is updated more than 30 days after the close of the reporting period, to account for retroactive Medicaid eligibility and other updates to the preliminary data provided soon after the close of the reporting period, which forms the basis for this report.

Table 2: Medicaid and CHIP: November 2014 Preliminary Monthly Medicaid CHIP Child Enrollment

Medicaid and CHIP Child Enrollment, June - October, 2014 ((I)-(IV))

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.²⁸ These figures may have been updated by

²⁷ See footnote 26.

²⁸ The states which provide complete coverage to pregnant women through CHIP are New Jersey, Rhode Island, and Colorado. In addition, the following states provide certain services to pregnant women through CHIP: Arkansas, California, Louisiana, Massachusetts, Michigan, Minnesota, Nebraska, Oklahoma, Oregon, Rhode Island, Tennessee, Texas, Washington, and Wisconsin. Benefits offered vary by state.

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states more than 30 days after the close to the reporting period to include enrollees with retroactive coverage and other updates.

Medicaid and CHIP Child Enrollment, November, 2014 (Preliminary) (V)

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.²⁹ The November 2014 data was submitted in November and is considered preliminary.³⁰

Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (VII)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The October 2014 data was submitted in November and is considered preliminary.³¹ This data is the same as the data reported in column (II) in Table 1: Medicaid and CHIP: October and November Preliminary Monthly Enrollment.

Medicaid and CHIP Child Enrollment as a % of Total Enrollment (VIII)

Medicaid and CHIP Child Enrollment, November 2014 (Preliminary) (V) as a percentage of Total Medicaid and CHIP Enrollment, November 2014 (Preliminary) (VIII).

²⁹ See footnote 28.

³⁰ See footnote 26.

³¹ See footnote 26.

Table 3: Medicaid and CHIP: November 2014 Monthly Applications and Eligibility Determinations

Application Data Elements

New Applications Submitted to Medicaid and CHIP Agencies, November 2014 (Preliminary) (I)

Number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period. Includes applications received online, via mail, in person or phone. Does not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM) (the SBM number is reported in column IV).³² It should be noted that data reflected in this performance indicator are not a count of individuals, as more than one person may be included on a single application. As states are transitioning to new systems, they may not be able to report applications received across all aspects of their programs or systems. See the state-specific notes in the table for further information about state limitations in this area.³³ The November 2014 data was submitted in November and is considered preliminary.³⁴

Applications for Financial Assistance Submitted to the State-Based Marketplace, November 2014 (Preliminary) (II)

This element is the number of applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period. The November 2014 data was submitted in November and is considered preliminary.³⁵

Total Applications for Financial Assistance Submitted at the State Level, November 2014 (Preliminary) (III)

Total Applications for Financial Assistance Submitted at the State Level, October 2014 (Preliminary) (IV)

For states with an SBM, the data reflects the total of **Applications Submitted to Medicaid and CHIP Agencies, November 2014 (Preliminary)** plus **Applications for Financial Assistance Submitted to the State-Based Marketplace, November 2014 (Preliminary)**. For FFM states, the data reflects **Applications Submitted to Medicaid and CHIP Agencies, November 2014 (Preliminary)**. For SBM states, the data includes all applications for financial assistance; individuals on these applications will be determined eligible for Medicaid, CHIP or Advanced Premium Tax Credits and Cost-Sharing Reductions, or determined ineligible for financial assistance. The November 2014 data was submitted in December and is considered preliminary. The October 2014 data in this table was submitted in November and is also preliminary. October data that was updated in December (which may include additional individuals who

³² As described in the state-specific notes in the tables, some states included account transfers from the FFM in the total count of applications received by Medicaid and CHIP agencies.

³³ As described in the state-specific notes in the tables, some states included renewals in the total count of applications received by Medicaid and CHIP agencies.

³⁴ See footnote 26.

³⁵ See footnote 26.

applied in October, but who were not captured in the preliminary data) is posted separately under the Eligibility Data tab on Medicaid.gov.

Percentage Change October 2014 to November 2014 (V)

The percentage change in **Total Applications for Financial Assistance Submitted at the State Level, November 2014 (Preliminary) (III)** as compared to **Total Preliminary Applications Submitted at the State Level, October 2014 (Preliminary) (IV)** is calculated for states that provided data for both periods.

Eligibility Determination Data Elements

Individuals Determined Eligible for Medicaid at Application, November 2014 (VI)

Total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on an administrative determination authorized under a targeted enrollment strategy approved by CMS.³⁶ The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). This number includes all determinations on applications made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. This does not include determinations made at a periodic redetermination or because of a change in circumstance for a current beneficiary.³⁷ The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals because an individual may have more than one determination within the reporting period. For example, individuals are first determined based on MAGI, and if needed, may request a subsequent determination based on non-MAGI factors. A list of which eligibility groups are MAGI and which are non-MAGI is available on [Medicaid.gov](http://www.Medicaid.gov).

As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the notes in the table for further information about state limitations in this area.

In states receiving account transfers from the FFM in November where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing the determinations, and instead is accepting the

³⁶ Information on targeted enrollment strategies and the states approved for these strategies is available here: <http://www.Medicaid.gov/Medicaid-CHIP-program-information/program-information/targeted-enrollment-strategies/targeted-enrollment-strategies.html>.

³⁷ As described in the state-specific notes in the tables, some states, due to data limitations, could not provide data that met our specifications. Notes are provided in cases where the state included renewals in this data element.

account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Individuals Determined Eligible for CHIP at Application, November 2014 (VII)

Total number of individuals determined eligible for CHIP (under title XXI of the Social Security Act) during the reporting period that follows the applicant submitting an application for coverage to any state agency (Medicaid, CHIP, or the SBM). This number includes all determinations at application made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the footnotes in the table for further information about state limitations in this area. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals.

In states receiving account transfers from the FFM in November where the FFM makes an eligibility assessment (and the state then completes the CHIP determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make a CHIP eligibility determination, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing a determination, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Total New Determinations, November 2014 (VIII)

The total of **Individuals Determined Eligible for Medicaid at Application** plus **Individuals Determined Eligible for CHIP at Application**.

A Note about Federally-Facilitated Marketplace Types: For the purposes of the report, we refer to State Partnership Marketplaces (Partnership), States performing Plan Management functions (Plan Management), and the State-Based Small Business Health Options Program (SB-SHOP) as Federally-Facilitated Marketplaces (FFMs). The state-by-state tables contain information on the specific marketplace type for each state.