

## All Providers

### Mandatory Compliance Program Certification Requirement under 18 NYCRR §521.3(b)

#### ***Reminder of December 2015 Certification Obligation***

*THIS IS A REMINDER FROM THE NEW YORK STATE (NYS) OFFICE OF THE MEDICAID INSPECTOR GENERAL (OMIG) FOR ALL MEDICAID REQUIRED PROVIDERS WHO ARE SUBJECT TO THE NYS SOCIAL SERVICES LAW SECTION 363-d MANDATORY COMPLIANCE PROGRAM REQUIREMENT.*

If you are a required provider as defined in 18 NYCRR 521, you are required to certify each December on OMIG's website at [www.omig.ny.gov](http://www.omig.ny.gov), that you have a compliance program in place that meets the requirements of the applicable law and regulations.

If you need assistance in determining if you are a required provider, please see the Compliance landing page on OMIG's website and complete the questions on the "Are you required to have a compliance program?" survey. The law, regulation, and guidance documents related to the mandatory compliance program requirements are also available on this page.

Additionally, OMIG has posted on its website a webinar that provides information about and guidance on completing the new 2015 certification form. The webinar is available at: <https://www.omig.ny.gov/resources/webinars>.

On December 1, 2015, OMIG will make available on its website, the NYS Social Services Law Compliance Program Certification Form (Certification Form) for 2015. The Certification Form for 2014 will remain active on OMIG's website until December 1, 2015 for newly enrolling and revalidating Medicaid providers.

**Please note that the NYS Department of Health (DOH) is revalidating Medicaid providers' enrollment in the medical assistance program. As part of the DOH's revalidation process, required providers will be asked to submit evidence that they met the December certification obligation. Certifying in December and retaining a copy of the certification confirmation and/or confirmation emails will help those Medicaid providers who are required to have a compliance program when they complete the revalidation process.**

The regulation and Frequently Asked Questions (FAQs) are available on the OMIG website. OMIG's listserv subscribers will be notified when the new forms are posted.

It is the responsibility of required providers to determine:

- a. if it has a compliance plan that meets the requirements of SSL § 363-d subsection 2 and 18 NYCRR § 521.3 (c);
- b. if its compliance program is effective; and
- c. if they are required to certify annually.

Questions on the December 2015 certification obligation should be directed to OMIG's Bureau of Compliance at (518) 408-0401 or by using the Bureau of Compliance's dedicated e-mail address [compliance@omig.ny.gov](mailto:compliance@omig.ny.gov).

Questions regarding the enrollment and revalidation process should be directed to the Bureau of Provider Enrollment within the Office of Health Insurance Programs at (518) 402-1278.

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