

Bronchial Thermoplasty

(701127)

Medical Benefit		Effective Date: 10/01/14	Next Review Date: 07/15
Preauthorization	No	Review Dates: 07/14	

The following Protocol contains medical necessity criteria that apply for this service. It is applicable to Medicare Advantage products unless separate Medicare Advantage criteria are indicated. If the criteria are not met, reimbursement will be denied and the patient cannot be billed. **Preauthorization is not required but recommended if, despite this Protocol position, you feel this service is medically necessary.** Please note that payment for covered services is subject to eligibility and the limitations noted in the patient's contract at the time the services are rendered.

Description

Bronchial thermoplasty is a newly available potential treatment option for patients with severe persistent asthma. It consists of radiofrequency energy delivered to the distal airways with the aim of decreasing smooth muscle mass believed to be associated with airway inflammation.

Background

Asthma, a chronic lung disease, affects approximately 8% of adults and 9.5% of children in the U.S. and, in 2011, accounted for approximately 440,000 hospitalizations and 3,400 deaths. (1) Asthma symptoms include episodic shortness of breath that is generally associated with other symptoms such as wheezing, coughing, and chest tightness. Objective clinical features include bronchial hyper-responsiveness and airway inflammation and reversible airflow obstruction (at least 12% improvement in forced expiratory volume in one second [FEV-1] post-bronchodilator, with a minimum of 200 mL improvement). However, there is substantial heterogeneity in the inflammatory features of patients who are diagnosed with asthma, and this biological diversity is responsible, at least in part, for the variable response to treatment in the asthma population.

Management of asthma consists of environmental control, patient education, management of co-morbidities, and regular follow-up for all affected individuals, as well as a stepped approach to medication treatment. Guidelines from the National Heart, Lung and Blood Institute (NHLBI) define six pharmacologic steps: step 1 for intermittent asthma and steps 2-6 for persistent asthma. (2) The preferred daily medications: step 1: short-acting beta-agonists as needed; step 2: low-dose inhaled corticosteroids (ICS); step 3: ICS and long-acting beta-agonists (LABA) or medium-dose ICS; step 4: medium-dose ICS and LABA; step 5: high-dose ICS and LABA; and, step 6: high-dose ICS and LABA, and oral corticosteroids.

Despite this multidimensional approach, many patients continue to experience considerable morbidity. In addition to ongoing efforts to optimally implement standard approaches to asthma treatment, new therapies are being developed. One new therapy is bronchial thermoplasty, the controlled delivery of radiofrequency energy to heat tissues in the distal airways. Bronchial thermoplasty is based on the premise that patients with asthma have an increased amount of smooth muscle in the airway and that contraction of this smooth muscle is a major cause of airway constriction. The thermal energy delivered via bronchial thermoplasty aims to reduce the amount of smooth muscle and thereby decrease muscle-mediated bronchoconstriction with the ultimate goal of reducing asthma-related morbidity. Bronchial thermoplasty is intended as a supplemental treatment for patients with severe persistent asthma (i.e., steps 5 and 6 in the stepwise approach to care).

Bronchial thermoplasty procedures are performed on an outpatient basis, and each session lasts approximately

Last Review Date: 07/14

one hour. During the procedure, a standard flexible bronchoscope is placed through the patient's mouth or nose into the most distal targeted airway and a catheter is inserted into the working channel of the bronchoscope. After placement, the electrode array in the top of the catheter is expanded, and radiofrequency energy is delivered from a proprietary controller and used to heat tissue to 65 degrees Centigrade over a 5-mm area. The positioning of the catheter and application of thermal energy is repeated several times in contiguous areas along the accessible length of the airway. At the end of the treatment session, the catheter and bronchoscope are removed. A course of treatment consists of three separate procedures in different regions of the lung scheduled about three weeks apart.

Regulatory Status

In April 2010, the Alair® Bronchial Thermoplasty System (Asthmatx, Inc., Sunnyvale, CA now part of Boston Scientific Corporation) was approved by the U.S. Food and Drug Administration (FDA) through the premarket approval (PMA) process for use in adults with severe and persistent asthma whose symptoms are not adequately controlled with inhaled corticosteroids and LABAs. Use of the treatment is contraindicated in patients with implantable devices and those with sensitivities to lidocaine, atropine or benzodiazepines. It should also not be used while patients are experiencing an asthma exacerbation, active respiratory infection, bleeding disorder, or within two weeks of making changes in their corticosteroid regimen. The same area of the lung should not be treated more than once with bronchial thermoplasty.

Policy (Formerly Corporate Medical Guideline)

Bronchial thermop	lasty for the treati	ment of asthma is co	nsidered investigationa l

Services that are the subject of a clinical trial do not meet our Technology Assessment Protocol criteria and are considered investigational. For explanation of experimental and investigational, please refer to the Technology Assessment Protocol.

It is expected that only appropriate and medically necessary services will be rendered. We reserve the right to conduct prepayment and postpayment reviews to assess the medical appropriateness of the above-referenced procedures. Some of this Protocol may not pertain to the patients you provide care to, as it may relate to products that are not available in your geographic area.

References

We are not responsible for the continuing viability of web site addresses that may be listed in any references below.

- 1. Centers for Disease Control and Prevention. Asthma FastStats. Available online at: http://www.cdc.gov/asthma/faststats.html. Last accessed June, 2013.
- 2. National Heart Lung and Blood Institute. Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma (EPR-3). 2007. Available online at: http://www.nhlbi.nih.gov/guidelines/asthma. Last accessed June, 2013.
- 3. Pavord ID, Cox G, Thomson NC et al. Safety and efficacy of bronchial thermoplasty in symptomatic, severe asthma. Am J Respir Crit Care Med 2007; 176(12):1185-91.

- 4. Cox G, Thomson NC, Rubin AS et al. Asthma control during the year after bronchial thermoplasty. N Engl J Med 2007; 356(13):1327-37.
- 5. Thomson NC, Rubin AS, Niven RM et al. Long-term (5 year) safety of bronchial thermoplasty: Asthma Intervention Research (AIR) trial. BMC Pulm Med 2011; 11:8.
- 6. Castro M, Rubin AS, Laviolette M et al. Effectiveness and safety of bronchial thermoplasty in the treatment of severe asthma: a multicenter, randomized, double-blind, sham-controlled clinical trial. Am J Respir Crit Care Med 2010; 181(2):116-24.
- 7. Castro M, Rubin A, Laviolette M et al. Persistence of effectiveness of bronchial thermoplasty in patients with severe asthma. Ann Allergy Asthma Immunol 2011; 107(1):65-70.
- 8. Wu Q, Xing Y, Zhou X et al. Meta-analysis of the efficacy and safety of bronchial thermoplasty in patients with moderate-to-severe persistent asthma. J Int Med Res 2011; 39(1):10-22.
- 9. RISA extension study-long term safety (NCT00401986). Available online at: www.clinicaltrials.gov. Last accessed June, 2013.
- 10. AIR2 extension study to demonstrate longer-term (> 1 year) durability of effectiveness (PAS1) (NCT01350414). Available online at: www.clinicaltrials.gov. Last accessed June, 2013.
- 11. Bronchial thermoplasty in severe persistent asthma (PAS2) (NCT01350336). Available online at: www.clinicalttrials.gov. Last accessed June, 2013.
- 12. A prospective observational study of biopredictors of bronchial thermoplasty response in patients with severe refractory asthma (BTR study) (NCT01185275). Available online at: www.clinicaltrials.gov. Last accessed June, 2013.
- 13. Du Rand IA, Barber PV, Goldring J et al. Summary of the British Thoracic Society guidelines for advanced diagnostic and therapeutic flexible bronchoscopy in adults. Thorax 2011; 66(11):1014-5.