

(70187)

<b>Medical Benefit</b>		<b>Effective Date:</b> 01/01/08	<b>Next Review Date:</b> 01/15
<b>Preauthorization</b>	No	<b>Review Dates:</b> 06/07, 07/08, 05/09, 01/10, 01/11, 01/12, 01/13, 01/14	

*The following Protocol contains medical necessity criteria that apply for this service. It is applicable to Medicare Advantage products unless separate Medicare Advantage criteria are indicated. If the criteria are not met, reimbursement will be denied and the patient cannot be billed. **Preauthorization is not required but is recommended if, despite this Protocol position, you feel this service is medically necessary; supporting documentation must be submitted to Utilization Management.** Please note that payment for covered services is subject to eligibility and the limitations noted in the patient's contract at the time the services are rendered.*

### Description

Total disc replacement, using an artificial intervertebral disc designed for the lumbar spine, is proposed as an alternative to fusion in patients with persistent and disabling nonradicular low back pain.

#### Background

When conservative treatment of degenerative disc disease fails, a common surgical approach is spinal fusion; more than 200,000 spinal fusions are performed each year. However, the outcomes of spinal fusion have been controversial over the years, in part due to the difficulty in determining if a patient's back pain is related to degenerative disc disease (DDD) and in part due to the success of the procedure itself. In addition, spinal fusion alters the biomechanics of the back, potentially leading to premature disc degeneration at adjacent levels, a particular concern for younger patients. During the past 30 years, a variety of artificial intervertebral discs have been investigated as an alternative approach to fusion. This approach, also referred to as total disc replacement or spinal arthroplasty, is intended to maintain motion at the operative level once the damaged disc has been removed and to maintain the normal biomechanics of the adjacent vertebrae.

Potential candidates for artificial disc replacement have chronic low back pain attributed to DDD, lack of improvement with non-operative treatment, and none of the contraindications for the procedure, which include multilevel disease, spinal stenosis, or spondylolisthesis, scoliosis, previous major spine surgery, neurologic symptoms, and other minor contraindications. These contraindications make artificial disc replacement suitable for a subset of patients in whom fusion is indicated. Patients who require procedures in addition to fusion, such as laminectomy and/or decompression, are not candidates for the artificial disc.

Use of a motion-preserving artificial disc increases the potential for a variety of types of implant failure. These include device failure (device fracture, dislocation, or wear), bone-implant interface failure (subsidence, dislocation-migration, vertebral body fracture), and host response to the implant (osteolysis, heterotopic ossification, and pseudotumor formation).

#### Regulatory Status

While artificial intervertebral discs in the lumbar spine have been used internationally for more than 10 years, only two devices (Charité® and ProDisc®-L) have received approval from the U.S. Food and Drug Administration (FDA). Because the long-term safety and effectiveness of these devices were not known, approval was contingent on completion of postmarketing studies. The Charité (DePuy) and ProDisc-L (Synthes Spine) devices are indicated for spinal arthroplasty in skeletally mature patients with degenerative disc disease (DDD) at one level; Charité is approved for use in levels L4–S1, and the ProDisc-L is approved for use in levels L3–S1. DDD is

defined as discogenic back pain with degeneration of the disc confirmed by patient history and radiographic studies. The INMOTION® lumbar artificial disc (DePuy Spine) is a modification of the Charité® device with a change in the name under the same premarket approval (PMA). Production under the name Charité® was stopped in 2010. The INMOTION® is not currently marketed in the U.S. The Maverick™ artificial disc (Medtronic) is not marketed in the U.S. due to patent infringement litigation. Other devices are currently under investigation in the U.S. as part of the FDA process of approval, including the FlexiCore® (Stryker Spine), Maverick (Medtronic), and Activ-L™ (Aesculap) devices. (Artificial intervertebral discs for treating the cervical spine are considered a separate Protocol.)

#### *Related Protocol*

Artificial Intervertebral Disc: Cervical Spine

#### **Policy (Formerly Corporate Medical Guideline)**

Artificial intervertebral discs of the lumbar spine are considered **investigational**.

---

Services that are the subject of a clinical trial do not meet our Technology Assessment Protocol criteria and are considered investigational. *For explanation of experimental and investigational, please refer to the Technology Assessment Protocol.*

It is expected that only appropriate and medically necessary services will be rendered. We reserve the right to conduct prepayment and postpayment reviews to assess the medical appropriateness of the above-referenced procedures. **Some of this Protocol may not pertain to the patients you provide care to, as it may relate to products that are not available in your geographic area.**

#### **References**

We are not responsible for the continuing viability of web site addresses that may be listed in any references below.

1. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Artificial vertebral disc replacement. TEC Assessments 2005; Volume 20, Tab 1.
2. Blumenthal S, McAfee PC, Guyer RD et al. A prospective, randomized, multicenter Food and Drug Administration investigational device exemptions study of lumbar total disc replacement with the CHARITE artificial disc versus lumbar fusion: part I: evaluation of clinical outcomes. *Spine (Phila Pa 1976)* 2005; 30(14):1565-75; discussion E387-91.
3. U.S. Food and Drug Administration. Draft of PRODISC-L Total Disc Replacement package insert. Available online at: [http://www.accessdata.fda.gov/cdrh\\_docs/pdf5/P050010c.pdf](http://www.accessdata.fda.gov/cdrh_docs/pdf5/P050010c.pdf). Last accessed August, 2011.
4. U.S. Food and Drug Administration. PRODISC-L Summary of Safety and Effectiveness Data. Available online at: [http://www.accessdata.fda.gov/cdrh\\_docs/pdf5/P050010b.pdf](http://www.accessdata.fda.gov/cdrh_docs/pdf5/P050010b.pdf). Last accessed August, 2011.
5. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Artificial lumbar disc replacement. TEC Assessments 2007; Volume 22, Tab 2.
6. van den Eerenbeemt KD, Ostelo RW, van Royen BJ et al. Total disc replacement surgery for symptomatic degenerative lumbar disc disease: a systematic review of the literature. *Eur Spine J* 2010; 19(8):1262-80.

7. Yajun W, Yue Z, Xiuxin H et al. A meta-analysis of artificial total disc replacement versus fusion for lumbar degenerative disc disease. *Eur Spine J* 2010; 19(8):1250-61.
8. Wang JC, Arnold PM, Hermsmeyer JT et al. Do lumbar motion preserving devices reduce risk of adjacent segment pathology compared with fusion surgery? A systematic review. *Spine (Phila Pa 1976)* 2012; 37(22 Suppl):S133-43.
9. Berg S, Tullberg T, Branth B et al. Total disc replacement compared to lumbar fusion: a randomised controlled trial with 2-year follow-up. *Eur Spine J* 2009; 18(10):1512-9.
10. Guyer RD, McAfee PC, Banco RJ et al. Prospective, randomized, multicenter Food and Drug Administration investigational device exemption study of lumbar total disc replacement with the CHARITE artificial disc versus lumbar fusion: five-year follow-up. *Spine J* 2009; 9(5):374-86.
11. Jacobs W, Van der Gaag NA, Tuschel A et al. Total disc replacement for chronic back pain in the presence of disc degeneration. *Cochrane Database Syst Rev* 2012; 9:CD008326.
12. Blue Cross and Blue Shield Association Technology Evaluation Center (TEC). Artificial lumbar disk arthroplasty for treatment of degenerative disk disease of the lumbar spine. *TEC Assessments* 2013; In press.
13. Putzier M, Funk JF, Schneider SV et al. Charite total disc replacement--clinical and radiographical results after an average follow-up of 17 years. *Eur Spine J* 2006; 15(2):183-95.
14. Scott-Young MN, Lee MJ, Nielsen DE et al. Clinical and radiological mid-term outcomes of lumbar single-level total disc replacement. *Spine (Phila Pa 1976)* 2011 [Epub ahead of print].
15. Zigler J, Delamarter R, Spivak JM et al. Results of the prospective, randomized, multicenter Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement versus circumferential fusion for the treatment of 1-level degenerative disc disease. *Spine (Phila Pa 1976)* 2007; 32(11):1155-62; discussion 63.
16. Zigler JE, Delamarter RB. Five-year results of the prospective, randomized, multicenter, Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement versus circumferential arthrodesis for the treatment of single-level degenerative disc disease. *J Neurosurg Spine* 2012; 17(6):493-501.
17. Zigler JE, Glenn J, Delamarter RB. Five-year adjacent-level degenerative changes in patients with single-level disease treated using lumbar total disc replacement with ProDisc-L versus circumferential fusion. *J Neurosurg Spine* 2012; 17(6):504-11.
18. Delamarter R, Zigler JE, Balderston RA et al. Prospective, randomized, multicenter Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement compared with circumferential arthrodesis for the treatment of two-level lumbar degenerative disc disease: results at twenty-four months. *J Bone Joint Surg Am* 2011; 93(8):705-15.
19. Schoenfeld AJ. Commentary on an article by Rick Delamarter, MD et al. "Prospective, randomized, multicenter Food and Drug Administration investigational device exemption study of the ProDisc-L total disc replacement compared with circumferential arthrodesis for the treatment of two-level degenerative lumbar disc disease. Results at twenty-four months." *J Bone Joint Surg Am* 2011; 93(8):e41.
20. Tropiano P, Huang RC, Girardi FP et al. Lumbar total disc replacement. Seven to eleven-year follow-up. *J Bone Joint Surg Am* 2005; 87(3):490-6.
21. Hannibal M, Thomas DJ, Low J et al. ProDisc-L total disc replacement: a comparison of 1-level versus 2-level arthroplasty patients with a minimum 2-year follow-up. *Spine (Phila Pa 1976)* 2007; 32(21):2322-6.

22. Gornet MF, Burkus JK, Dryer RF et al. Lumbar disc arthroplasty with MAVERICK disc versus stand-alone interbody fusion: a prospective, randomized, controlled, multicenter investigational device exemption trial. *Spine (Phila Pa 1976)* 2011; 36(25):E1600-11.
23. Sasso RC, Foulk DM, Hahn M. Prospective, randomized trial of metal-on-metal artificial lumbar disc replacement: initial results for treatment of discogenic pain. *Spine (Phila Pa 1976)* 2008; 33(2):123-31.
24. Skold C, Tropp H, Berg S. Five-year follow-up of total disc replacement compared to fusion: a randomized controlled trial. *Eur Spine J* 2013.
25. Berg S, Tropp HT, Leivseth G. Disc height and motion patterns in the lumbar spine in patients operated with total disc replacement or fusion for discogenic back pain. Results from a randomized controlled trial. *Spine J* 2011; 11(11):991-8.
26. Yue JJ, Mo FF. Clinical study to evaluate the safety and effectiveness of the Aesculap Activ-L artificial disc in the treatment of degenerative disc disease. *BMC Surg* 2010; 10:14.
27. Shim CS, Lee SH, Shin HD et al. CHARITE versus ProDisc: a comparative study of a minimum 3-year follow-up. *Spine (Phila Pa 1976)* 2007; 32(9):1012-8.
28. Park CK, Ryu KS, Jee WH. Degenerative changes of discs and facet joints in lumbar total disc replacement using ProDisc II: minimum two-year follow-up. *Spine (Phila Pa 1976)* 2008; 33(16):1755-61.
29. Siepe CJ, Korge A, Grochulla F et al. Analysis of post-operative pain patterns following total lumbar disc replacement: results from fluoroscopically guided spine infiltrations. *Eur Spine J* 2008; 17(1):44-56.
30. Punt IM, Visser VM, van Rhijn LW et al. Complications and reoperations of the SB Charite lumbar disc prosthesis: experience in 75 patients. *Eur Spine J* 2008; 17(1):36-43.
31. Guyer RD, Shellock J, MacLennan B et al. Early failure of metal-on-metal artificial disc prostheses associated with lymphocytic reaction: diagnosis and treatment experience in four cases. *Spine (Phila Pa 1976)* 2011; 36(7):E492-7.
32. Berry MR, Peterson BG, Alander DH. A granulomatous mass surrounding a Maverick total disc replacement causing iliac vein occlusion and spinal stenosis: a case report. *J Bone Joint Surg Am* 2010; 92(5):1242-5.
33. Cabraja M, Schmeding M, Koch A et al. Delayed formation of a devastating granulomatous process after metal-on metal lumbar disc arthroplasty. *Spine (Phila Pa 1976)* 2012; 37(13):E809-13.
34. Chou R, Loeser JD, Owens DK et al. Interventional therapies, surgery, and interdisciplinary rehabilitation for low back pain: an evidence-based clinical practice guideline from the American Pain Society. *Spine (Phila Pa 1976)* 2009; 34(10):1066-77.
35. Chou R, Baisden J, Carragee EJ et al. Surgery for low back pain: a review of the evidence for an American Pain Society Clinical Practice Guideline. *Spine (Phila Pa 1976)* 2009; 34(10):1094-109.
36. National Institute for Health and Clinical Excellence (NICE). Prosthetic intervertebral disc replacement. IP Guidance Number: IPG100. 2004.
37. National Institute for Health and Clinical Excellence (NICE). Prosthetic intervertebral disc replacement in the lumbar spine (IPG306). 2009. Available online at: <http://www.nice.org.uk/nicemedia/pdf/IPG306Guidance.pdf>. Last accessed August, 2011.
38. Centers for Medicare and Medicaid Services (CMS). National Coverage Determination (NCD) for Lumbar Artificial Disc Replacement (LADR) (150.10). 2007. Available online at: <https://www.cms.gov/medicare-coverage-database/details/ncd->

details.aspx?NCDId=313&ncdver=2&CoverageSelection=National&Keyword=lumbar+artificial+disc&KeywordLookup=Title&KeywordSearchType=And&id=170&bc=gAAAABAAAA&. Last accessed October 2013.

39. Centers for Medicare and Medicaid Services (CMS). Change request 5727, CMS Manual system. September 11, 2007. Available online at: <http://www.cms.hhs.gov/Transmittals/Downloads/R75NCD.pdf>. Last accessed August, 2011.
40. Centers for Medicare and Medicaid Services (CMS). Medicare Learning Network Matters. 2007. Available online at: <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM5727.pdf>. Last accessed August, 2011.
41. National Coverage Determination (NCD) for Lumbar Artificial Disc Replacement (LADR) (150.10), Implementation Date 10/1/2007.